



New College of Florida Board of Trustees Audit and Compliance Committee Meeting Minutes for September 15, 2023

1. Call to Order/Roll Call

Chair Karp called the meeting to order at 1:32p.m. over a Zoom conference call. Roll call confirmed that a quorum was present. The Public Notice of the meeting was confirmed.

Committee Members in Attendance:

Amy Reid
Lance Karp, Chair
Mark Bauerlein
Matthew Spalding
Ron Christaldi
Debra Jenks, Ex Officio

Committee Members Not in Attendance:

None – All members were present

Participating University Staff: Chief Audit Executive (CAE)/Chief Compliance Officer Alexander G. Tzoumas; VP for Legal Affairs David Brickhouse; VP of Finance and Administration Chris Kinsley; Chief Human Resources Officer Erika Worthy; and Controller Melissa Shippee.

2. Consideration of Draft Minutes from June 23, 2023 Meeting

- **Committee Action:** Consideration of the June 23, 2023 Audit and Compliance Committee meeting minutes was submitted for approval. Motion by Trustee Christaldi and second by Trustee Spaulding. Motion was unanimously approved.

3. 2023 Driver and Vehicle Information Database (DAVID) Audit

- **Information Item:** The Chief Audit Executive (CAE) presented the results of the annual DAVID audit, which is required by the Florida Department of Highway Safety and Motor Vehicles. There were zero audit findings again this year.

4. Crowe Direct Support Organization (DSO) Findings Status Report

- A. Information Item:** The CAE informed the Committee all the findings from the Crowe internal control assessment of the New College (NC) Foundation had been remediated by Foundation management. The required NC Foundation budget and related budget variance report would be presented at an upcoming Board of Trustees report.

5. Investigation Report

- **Information Item:** The Committee briefly reviewed a Whistleblower complaint investigation report. The CAE informed the Committee the allegation of improper use of NC Foundation restricted funds was unsubstantiated.

6. Office of Internal Audit Quality Assurance Review Report

- **Information Item:** The Committee reviewed the Office of Internal Audit Quality Assurance Review Report.



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7. State of Florida Auditor General Operational Audit Update

- **Information Item:** The CAE provided the Committee with a brief verbal update on State Operational Audit. The State Auditors had continued the audit longer than anticipated and identified a number of preliminary findings. The university's management team was providing all the information requested. Efforts to remediate the preliminary findings were already underway.

8. Title IX

- **Information Item:** The CAE presentation an overview of the Title IX Federal Civil Rights law.
- **Information Item:** The Committee reviewed an independent TNG Group Assessment Report and recommendations for the university's Title IX Office. The CAE heightened several key findings and recommendations with an indication the Title IX Office had been moved under the supervision of the VP of Legal Affairs. Discussion of the report recommendation to move the Title IX Office to VP of Legal Affairs ensued. Trustee Reid noted Title IX included educational opportunities as well as sexual harassment. Trustee Reid asked particular attention be given to the equitable granting of scholarship to both men and women athletes. Trustee Reid also requested an update on all recent Title IX related legal action filed against the university.

Other Business - There was no other business

The meeting adjourned at 2:12p.m.