

# New College of Florida Florida Equity Report for Data Year 2016-17

Approved by the New College of Florida Board of Trustees at their regular meeting on June 9, 2018

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Felice Schulaner, Chair, NCF Board of Trustees

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Submitted by the New College of Florida Equity Report Committee

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#### Attachment: 2017-18 Presidential Evaluation

## I: Executive Summary

This report covers the period from July 1, 2016 to June 30, 2017 with respect to equity and access in academic services, athletics, and employment.

### A. Description of Plan Development

Successful initiatives in equity require broad institutional commitment. To compile this report, representatives from Academic Affairs, Enrollment Management, Student Affairs, Human Resources, and the President's Office submitted reports identifying their efforts and evaluating their progress in furthering the College's equity plans. These representatives reviewed these reports at regular meetings, synthesized institutional data provided by the Office of Institutional Research and Assessment and peer comparison data provided by the Office of the President, and provided feedback for the development of this Florida Equity Report.

The following administrators provided significant content and feedback for the development of this report:

- Denise Bogart, Director of Human Resources
- Autumn Harrell, Assessment Coordinator (and former Director of Diversity and Inclusion)
- Colin Jordan, Director of Fitness Center
- Julie Morris, Associate Vice President of Academic Affairs
- Brian Scholten, Registrar
- Mark Stier, Senior Associate Dean for Student Affairs
- Bradley Thiessen, Chief of Staff
- Hui-Min Wen, Director of Institutional Research and Assessment
- Sonia Wu, Associate Dean of Admissions and Financial Aid and Director of Admissions

### **B.** Summary of Institutional Progress

In his September 12, 2016 State of the College Report, President Donal O'Shea noted that "supporting and enhancing student life" was his first institutional priority for the year. He also wrote:

Like college campuses across the country, our community faced a number of difficult issues involving sexual violence, gender intolerance, systemic racism, anti-Semitism, and online bullying this year. Students worked with a subcommittee of my Committee on Diversity, Community, and College Life to convene a successful campus-wide Day of Dialog on April 4, 2016. We had a faculty-student team attend the June 2016 National Intergroup Dialog Institute at the University of Michigan, and will implement an Intergroup Dialog Course this year. Our annual Black History Month activities in February, led by Assistant Professor of Sociology Meccasia Queen Zabriskie, brought numerous experts on racial issued to campus. Among them were Maria Chatelain from Georgetown U, a gender and diversity specialist; Cheryl Rodriguez from USF-Tampa who specializes in equality and justice; and Dr. Lisa Merritt from Multicultural Health Institutes, who is a popular instructor on campus. We have more work to do and I am confident we will make progress. New College has a long history of being at the forefront of social movements and we have been a leader in the movement to create a welcoming environment, and to secure equal right for gay and transgendered individuals.

This priority was reiterated in President O'Shea's goals for 2016-17, which included:

- Build vibrant student life, with appropriate extracurricular activities and clubs; create conditions for civil discourse and anti-bullying.
- Improve diversity

The President's Advisory Committee on Diversity, Community, and College Life provided leadership throughout the year to address these goals. The Committee, consisting of students, faculty, staff, and a trustee, met biweekly to address campus issues affecting all constituents, to promote dialogue, and to initiate changes and improvements of the campus climate. These meetings, along with input from across the campus, led to the following efforts in 2016-17:

- The Gender and Diversity Center, supported by the Office of Student Affairs, offered programming to all students and encouraged the use of its resource library on gender and diversity. A campus-wide survey was administered in 2016-17 to measure perceptions and use of the Center
- Feminist Friday provided for a weekly gathering of faculty, students, and staff for informal intellectual exchange and community building.
- Increased programming to celebrate Black History Month, Women's History Month, and Interfaith collaboration was sponsored by faculty, students, and the library.
- The President established a Diversity Task Force, led by director of diversity and inclusion, members included faculty, students, and staff.
- The President distributed a list of religious holidays to the campus community.
- Title IX efforts: bystander intervention training; programming on sexual assault in the LGBT community.
- *New College, New Topics* programming focused on diversity and inclusion.
- The College's second student-sponsored *Day of Dialogue*, held on February 6, 2017, provided a program of workshops, presentations, and discussions.
- In October of 2016, a Cultural Diversity Festival drew 150 attendees to visit 18 tables representing different cultures with active involvement from the Global Living residence hall team.
- Nearly 150 students participated in living/learning communities focused on topics including global citizenship and LGBT issues.

#### Student Enrollment / Retention / Graduation

From Fall 2012 to Fall 2017, FTIC representation decreased for Black students, and increased for Asian and Hispanic students. During the same period, representation decreased for White students, and remained the same for those reporting two or more races. There was no representation for Native American students or Native Hawaiian and Other Pacific Islanders. Representation of all URM FTIC students rose from 27% to 30%.

The Florida College System AA transfer data from Fall 2012 to Fall 2017 shows a decrease in Black student representation, and an increase in Asian student and Hispanic student representation; representation of women also increased. During the same period, representation decreased for White students. There was no representation of Native American students or Native Hawaiian and Other Pacific Islanders, or for those reporting two or more races. Representation of all URM transfers rose from 17% in Fall 2012 to 41% in Fall 2017.

Small numbers continue to challenge our ability to build and sustain representation of individual URM student groups (FTIC and FCS AA transfer), but the college has made progress regarding total URM representation and will continue to work toward early identification of URM prospective students through search and provide them with additional layers of recruitment activity.

As detailed in the <u>NCF Plan for Growth</u>, New College of Florida is investing significant resources into improving retention, persistence, and four-year graduation rates for all students, including underserved populations and protected classes.

#### Faculty and Administrative Employment

The Office of Human Resources and Office of the Provost continue their collaborative efforts to enhance the diversity of the college community. As a result of the efforts made during the 2016-2017 year, the total number of faculty increased by 4 positions in 2016-2017. Gender diversity improved slightly, as females represent an additional 2% of tenured faculty, 3% of tenure-track faculty, and 19% of non-tenure-earning faculty.

The College continues to review recruitment processes and branding in an effort to recruit a more diverse staff.

#### Athletics

New College has no formal athletic program, no team sports, and no intercollegiate athletics. All athletic activity is centered around the Fitness Center, which attempts to encourage intramural activities and club sports based on student interest (See Academic Program Review, Sex Equity in Club and Intramural Athletics). All sports, athletic programs, clubs, and activities are open to all students and promoted to all students. NCF continues to encourage various sports opportunities for its students.

### C. Budget Plan

In addition to the resources committed to the above mentioned initiatives, the College devoted an additional \$62,219 to specific equity and access initiatives. The budget is detailed in Section IX of this report.

## II: Review of Institutional Policies and Procedures

Since 2013, President O'Shea has identified the diversification of campus and improved access as institutional priorities. Progress toward these goals continued to shape policy decisions in 2016-17, and the Board of Trustees continued to evaluate the President based on this metric.

New College of Florida Regulations, as well as policies of great importance to campus, are regularly advertised to the community to solicit input. Regulations always provide a full thirty (30) day public comment period; some Regulations relating to equity and access are afforded an extended period for campus comment. The campus is notified by email and on the College website when a Regulation or Policy is proposed or amended.

The New College of Florida Board of Trustees has adopted several Regulations that further the College's commitment to diversity, equity, and access. These Regulations, along with the date of original adoption, are available at https://www.ncf.edu/about/departments-and-offices/office-of-the-general-counsel/regulations/ and in Appendix II of this report.

Specific Regulations related to equity and access include:

- NCF Regulation 1-1016 ADA
- NCF Regulation 3-4018 Sexual Discrimination / Harassment
- NCF Regulation 3-4022 Equal Education and Opportunity
- NCF Regulation 3-4027 Discrimination / Harassment

## III: Academic Program Reviews

### A-C: Analysis and Areas for Improvement

This section includes six measures of equity in enrollment, persistence, and degrees awarded.

#### FTIC and Transfer Student Enrollment

#### Table 1. First-Time-In-College Enrollment

	Ethnicity									
	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	UNK	Total
Men	1	1	0	1	10	0	48	1	2	64
Women	1	5	0	5	29	0	86	6	3	135
Total FTIC Fall 2017	2	6	0	6	39	0	134	7	5	199
Category % of Total Fall 2017	1%	3%	0%	3%	20%	0%	67%	4%	3%	100%
Total FTIC Fall 2016	5	10	0	8	55	0	143	4	6	231
Category % of Total Fall 2016	2%	4%	0%	4%	24%	0%	62%	2%	3%	100%
Total FTIC Fall 2012	5	8	0	3	39	0	153	8	7	223
Category % of Total Fall 2012	2%	4%	0%	1%	18%	0%	69%	4%	3%	100%
% change from Fall 2012 to 2017	-1%	-1%	0%	2%	2%	0%	-1%	0%	-1%	

Source: IPEDS Part A: Fall enrollment by race, ethnicity, and gender. FTIC = Full-time First-Time students.

#### Table 1a. Peer Comparison: First-Time-In-College Enrollment

	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	UNK
New College of Florida (2017)	1%	3%	0%	3%	20%	0%	67%	4%	3%
Selected Peer Median (2016)	4%	7%	1%	3%	9%	0%	65%	5%	3%
COPLAC Median (2016)	1%	5%	1%	2%	7%	0%	71%	4%	2%
NCF rank among selected peers	9/13	11/13	10/13	7/13	2/13	7/13	5/13	9/13	7/13
NCF rank among COPLAC peers	16/29	20/29	22/29	8/29	3/29	16/29	17/29	14/29	10/29
Area for improvement?									

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Table 2. Florida College System A.A. Transfers

	Ethnicity											
	NRA	В	AI/A N	A	Н	NH/OP I	W	≥TWO	UNK	Female	Male	Total
Total Fall 2017	0	0	0	1	4	0	5	0	2	6	6	12
Category % Fall 2017	0%	0%	0%	8%	33%	0%	42%	0%	17%	50%	50%	100%
Total Fall 2016	0	1	0	0	2	0	13	0	0	10	6	16
Category % Fall 2016	0%	6%	0%	0%	13%	0%	81%	0%	0%	63%	38%	100%
Total Fall 2012	1	1	0	0	0	0	4	0	0	2	4	6
Category % Fall 2012	17%	17%	0%	0%	0%	0%	67%	0%	0%	33%	67%	100%
% change from 2012-17	-17%	-17%	0%	8%	33%	0%	-25%	0%	17%	17%	-17%	

#### Table 2a. Peer Comparison: Transfer Students\*

	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	UNK
New College of Florida (2017)	0%	0%	0%	8%	33%	0%	42%	0%	17%
Selected Peer Median (2016)	4%	4%	0%	0%	4%	0%	68%	4%	1%
COPLAC Median (2016)	2%	5%	0%	1%	5%	0%	70%	4%	3%
NCF rank among selected peers	11/13	11/13	9/13	2/13	1/13	7/13	11/13	11/13	1/13
NCF rank among COPLAC peers	25/29	29/29	20/29	1/29	1/29	16/29	26/29	28/29	3/29
Area for improvement?		$\checkmark$						$\checkmark$	

\* NCF data is for Florida State College A.A. Transfer students only; peer comparison data includes all transfer students

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Standard to identify areas of improvement in FTIC and transfer student enrollment:

To identify areas of disproportionate enrollment, data from New College of Florida were compared to data from two groups of peer institutions (Tables 1a and 2a). Protected class categories in which New College of Florida percentages were more than two percentage points less than the medians of both peer groups were identified as areas for improvement.

#### Areas for Improvement:

- Florida College System A.A. Transfer enrollment: Black or African-American
- Florida College A.A. Transfer enrollment: Two or More Races (and/or similar improvement in enrollment from another protected class race/ethnicity group measured at or below the peer median)

#### Goals for improvement over the next three years:

Over the next three years, New College of Florida strives to enroll protected class students at rates matching or exceeding the median rates of our peer institution groups.

#### Plan for progress:

To maintain our and increase the diversity of our incoming FTIC classes, the Office of Admissions and Financial Aid will take the following targeted actions to recruit underrepresented students, including those in groups identified as areas for improvement:

- Engage a professional search service firm to improve identification of prospective underrepresented students and communicate with them more effectively.
- Continue to send the National Hispanic Scholar Excellence Award pre-offer letter.
- Expand the range of communication (e.g., texting and 24/7 access to interactive Q&A with chatbot)
- Hire a bilingual Admissions Counselor.
- Translate some of our admissions materials to Spanish and making them available through our website.
- Continue to promote college preparatory planning at New College's PUSH/SUCCESS summer science outreach program.
- Teach students involved in UnidosNow about the college application review process.
- Host a special open house day on campus for students participating in Upward Bound programs around the state of Florida.
- Increase FTIC recruitment travel, particularly to Florida high schools and to Puerto Rico.
- Increase individual contact to students eligible for the Latin American/Caribbean Scholarship Program.
- Increase individual outreach to underrepresented students.

To increase the diversity among transfer students, the Office of Admissions and Financial Aid will:

- Hire a transfer student recruiter.
- Purchase transfer search names and contact information of Phi Theta Kappa (PTK) students.
- Increase contact with Florida College System staff to promote admission and scholarship opportunities.
- Increase visits to Florida College System institutions, targeting student groups such as PTK members and those enrolled in honors college programs.
- Increase individual outreach to underrepresented students.

#### **Retention and Graduation Rates**

	Ethnicity / Gender												
	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Female	Male	Total	
Fall 2016 Cohort	5	10	0	8	55	0	143	4	6	147	84	231	
Category %	2%	4%	0%	4%	24%	0%	62%	2%	3%	64%	36%	100%	
Enrolled Fall 2017	4	8	0	6	41	0	115	4	4	117	65	182	
Retention Rate	80%	80%	0%	75%	75%	0%	80%	100%	67%	80%	77%	78.8%	

#### Table 3. Retention of Full-time FTICs After One Year

#### Table 4. Graduation Rate of Full-time FTICs by Race/Ethnicity

	Ethnicity / Gender											
	NRA	В	AI/AN	A/PI	Н	NH/OPI	W	≥TWO	Unk	Female	Male	Total
2011-17 Cohort	0	4	1	6	32	0	174	19	1	149	88	237
Category %	0%	2%	0%	3%	14%	0%	73%	8%	0%	63%	37%	100%
After 6 years	0	0	0	0	0	0	2	0	0	1	1	2
# of Graduates	0	2	1	3	18	0	118	10	1	97	56	153
Percent Graduated	-	50%	100%	50%	56%	-	68%	53%	100%	65%	64%	64.6%
Category % Graduated	0%	1%	1%	2%	12%	0%	77%	7%	1%	63%	37%	100%
Number Retained	0	2	1	3	18	0	119	10	1	97	57	154
Percent Retained	0%	50%	100%	50%	56%	0%	68%	53%	100%	65%	65%	65%

Source: FTIC includes Beginners and Early Admits

#### Table 4a. Peer Comparison: Graduation Rates

	NRA	В	AI/AN	A	Н	NH/OPI	W	≥TWO	Unk	Female	Male
New College of Florida (2017)	-	50%	100%	50%	56%	-	68%	53%	100%	65%	64%
Selected Peer Median (2016)	67%	54%	100%	59%	61%	58%	73%	68%	62%	73%	67%
COPLAC Median (2016)	56%	47%	33%	50%	50%	50%	55%	55%	56%	54%	49%
NCF rank among selected peers	-	9/13	3/13	9/13	8/13	-	8/13	10/13	2/13	8/13	10/13
NCF rank among COPLAC peers	-	10/29	3/29	14/29	10/29	-	6/29	14/27	2/25	7/29	6/29
Area for improvement?											

Area for improvement?

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement

Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Standard to identify areas of disparate graduation rates:

To identify student categories with disparate graduation rates, data from New College of Florida were compared to data from two groups of peer institutions (Table 4a). Protected class categories in which New College of Florida percentages were more than two percentage points less than the medians of both peer groups were identified as areas for improvement.

#### Areas for Improvement:

• All protected class categories were at least within two percentage points of our peer group medians.

#### Goals for improvement over the next three years:

Over the next three years, New College of Florida strives for graduation rates of all protected class students to match or exceed the median rates of our peer institution groups.

#### Plan for progress:

To improve the retention and graduation rates of all students, including those in protected classes, we will:

- Offer Intergroup Dialogue training to faculty and staff
- Engage the Director of Diversity and Inclusion with students to talk about issues on campus, incidents of potential bias on campus, and student development around issues of diversity and inclusion
- Add an option for students to report issues related to bias in the Incident Report and Student of Concern Report
- Engage the Director of Diversity and Inclusion with faculty and staff to discuss initiatives, programs, and concerns about issues of diversity and inclusion at New College and in the Sarasota community
- Administer a campus-wide survey on campus climate related to diversity and inclusion
- Offer programming focused on self-care, community-care, and community building (*Respect Week*)
- Offer a monthly student success series focused on leadership, inclusion, and change (Agents of Change)
- Offer additional Title IX training: responsible employee training, bystander intervention training, sexual assault in the LGBT community program, and healthy relationships panels
- Clarify pathways to graduation
- Track student progress and identify at-risk students through an early alert system

#### Bachelor's Degrees Awarded

#### Table 5. Bachelor's Degrees Awarded by Race

	Ethnicity / Gender										
	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Total	
Male	0	0	0	4	12	0	46	2	1	65	
Female	1	3	0	1	17	0	70	6	1	99	
Total	1	3	0	5	29	0	116	8	2	164	
Category % of Total 2016-17	1%	2%	0%	3%	18%	0%	71%	5%	1%	100%	
Male	0	4	0	1	8	0	48	2	2	65	
Female	4	4	0	3	24	0	66	2	2	105	
Total	4	8	0	4	32	0	114	4	4	170	
Category % of Total 2015-16	2%	5%	0%	2%	19%	0%	67%	2%	2%	100%	
Male	0	1	1	0	6	0	45	1	1	55	
Female	0	4	0	5	16	0	89	7	3	124	
Total	0	5	1	5	22	0	134	8	4	179	
Category % of Total 2011-12	0%	3%	1%	3%	12%	0%	75%	5%	2%	100%	

Source: IPEDS Completions. GRAND TOTAL BY FIRST MAJOR, Bachelor's degrees. Table for 99.0000, all disciplines

#### Table 5a. Peer Comparison: Bachelor's Degrees Awarded by Race

	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Female	Male
New College of Florida (2017)	1%	2%	0%	3%	18%	0%	71%	5%	1%	60%	40%
Selected Peer Median (2016)	3%	4%	1%	1%	6%	0%	70%	4%	2%	58%	42%
COPLAC Median (2016)	1%	5%	0%	2%	4%	0%	75%	2%	3%	61%	39%
NCF rank among selected peers	10/13	11/13	10/13	6/13	2/13	7/13	6/13	4/13	11/13	5/13	9/13
NCF rank among COPLAC peers	19/29	22/29	21/29	8/29	2/29	16/29	17/29	7/29	25/29	16/29	14/29

Area for improvement?

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Standard to identify areas with a disproportionate number of bachelor's degrees awarded:

To identify disproportionate areas, data from New College of Florida were compared to data from two groups of peer institutions (Table 5a). Protected class categories in which New College of Florida percentages were more than two percentage points less than the medians of both peer groups were identified as areas for improvement.

#### Areas for Improvement:

• All protected class categories were at least within two percentage points of our peer group medians.

#### Goals for improvement over the next three years:

Over the next three years, New College of Florida strives to award bachelor's degrees to protected class students at rates matching or exceeding the median rates of our peer institution groups.

#### Plan for progress:

To maintain and increase the diversity of our graduating classes, we plan to do the following:

- Offer Intergroup Dialogue training to faculty and staff
- Engage the Director of Diversity and Inclusion with students to talk about issues on campus, incidents of potential bias on campus, and student development around issues of diversity and inclusion
- Add an option for students to report issues related to bias in the Incident Report and Student of Concern Report
- Engage the Director of Diversity and Inclusion with faculty and staff to discuss initiatives, programs, and concerns about issues of diversity and inclusion at New College and in the Sarasota community
- Administer a campus-wide survey on campus climate related to diversity and inclusion
- Offer programming focused on self-care, community-care, and community building (*Respect Week*)
- Offer a monthly student success series focused on leadership, inclusion, and change (*Agents of Change*)
- Offer additional Title IX training: responsible employee training, bystander intervention training, sexual assault in the LGBT community program, and healthy relationships panels
- Clarify pathways to graduation
- Track student progress and identify at-risk students through an early alert system

#### Master's Degrees Awarded

#### Table 6. Master's Degrees Awarded by Race

	Ethnicity / Gender										
	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Total	
Male	0	0	0	0	1	0	5	0	0	6	
Female	0	0	0	0	0	0	1	0	0	1	
Total	0	0	0	0	1	0	6	0	0	7	
Category % of Total 2016-17	0%	0%	0%	0%	14%	0%	86%	0%	0%	100%	
Male	0	0	0	0	0	0	0	0	0	0	
Female	0	0	0	0	0	0	0	0	0	0	
Total	0	0	0	0	0	0	0	0	0	0	
Category % of Total 2015-16	0	0	0	0	0	0	0	0	0	0	
Male	0	0	0	0	0	0	0	0	0	0	
Female	0	0	0	0	0	0	0	0	0	0	
Total	0	0	0	0	0	0	0	0	0	0	
Category % of Total 2011-12	0	0	0	0	0	0	0	0	0	0	

Source: IPEDS Completions. GRAND TOTAL BY FIRST MAJOR, Master's degrees. Table for 99.0000, all disciplines

Note that since the first cohort of Master's degree students graduated from New College of Florida in 2016-17, no comparisons can be made to the previous academic year.

#### Table 6a. Peer Comparison: Master's Degrees Awarded by Race

	NRA	В	AI/AN	А	Н	NH/OPI	w	≥TWO	Unk	Female	Male
New College of Florida (2017)	0%	0%	0%	0%	14%	0%	86%	0%	0%	14%	86%
Selected Peer Median (2016)	0%	0%	0%	0%	6%	0%	92%	0%	0%	70%	30%
COPLAC Median (2016)	1%	2%	0%	1%	4%	0%	83%	0%	2%	70%	30%
NCF rank among selected peers	5/8	6/8	5/8	5/8	2/8	4/8	5/8	5/8	6/8	8/8	1/8
NCF rank among COPLAC peers	20/27	22/27	17/27	21/27	2/27	15/27	10/27	19/27	23/27	27/27	1/27
Area for improvement?										$\checkmark$	

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement

Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Standard to identify areas with a disproportionate number of master's degrees awarded:

To identify disproportionate areas, data from New College of Florida were compared to data from two groups of peer institutions (Table 5a). Protected class categories in which New College of Florida percentages were more than two percentage points less than the medians of both peer groups were identified as areas for improvement.

#### Areas for Improvement:

• Master's Degrees Awarded: Female students

#### Goals for improvement over the next three years:

Over the next three years, New College of Florida strives to award master's degrees to protected class students at rates matching or exceeding the median rates of our peer institution groups.

#### Plan for progress:

To maintain and increase the diversity of our graduate program, we will:

- Recruit female students at events, such as the *RLadies* meet-ups
- Engage with (and attempt to host) the Women in Statistics and Data Science Conference
- Host a Liberal Arts Data Science Workshop to discuss recruitment strategies with faculty at similar programs.
- Start a peer mentoring program to pair new students with those in the second year in the program.

#### Doctoral and First Professional Degrees Awarded

#### Ethnicity / Gender NRA Asian AI/AN В Н W NH OPI >TWO Unk Total Male 0 Female 0 0 Total Category % of Total 2016-17 Male Female 0 Total Category % of Total 2015-16 Male 0 0 Female Total Category % of Total 2011-12

#### Table 7. Doctoral Degrees Awarded by Race

#### Table 8. First Professional Degrees Awarded by Race

	Ethnicity / Gender									
	NRA	Asian	AI/AN	В	Н	W	NH OPI	>TWO	Unk	Total
Male	0	0	0	0	0	0	0	0	0	0
Female	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0
Category % of Total 2016-17	0	0	0	0	0	0	0	0	0	0
Male	0	0	0	0	0	0	0	0	0	0
Female	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0
Category % of Total 2015-16	0	0	0	0	0	0	0	0	0	0
Male	0	0	0	0	0	0	0	0	0	0
Female	0	0	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0	0	0
Category % of Total 2011-12	0	0	0	0	0	0	0	0	0	0

Source: IPEDS Completions. GRAND TOTAL BY FIRST MAJOR, Master's degrees. Table for 99.0000, all disciplines

New College of Florida does not award Doctoral or First Professional Degrees.

## **D: Student Services**

Student Services require periodic review to determine compliance with equity laws and regulations. Non-discrimination on the basis of race, color, national origin, sex, religion, age, disability, marital status, veteran status or any other basis protected by law is required.

#### 1. Academic Advising

Website: <u>https://www.ncf.edu/advising/</u>

Through our academic contract system, NCF students work with faculty advisors to create written agreements each semester, identifying educational activities to be completed and goals to be met. As a product of focused dialogue between student and advisor, the contract allows students to discuss and articulate short- and long-term goals, and to monitor progress toward completion of graduation requirements.

The <u>Baccalaureate Student Survey</u>, administered to graduating seniors, provides evidence of the effectiveness of the NCF advising system. Responses from 90% of graduating seniors indicate:

- 94% of graduating seniors were satisfied with the accessibility of their professors
- 93% were satisfied with the interactions they had with faculty
- 90% were satisfied with the advising (contract sponsorship) they received in their final year
- 70% were satisfied with the advising (contract sponsorship) they received in their final year

#### 2. Admission to Academic Program

#### Website: https://www.ncf.edu/admissions/

The Office of Admissions and Financial Aid continues to actively recruit, enroll, and provide appropriate financial assistance to a diverse population of high-ability students who can benefit most from the New College of Florida academic program. New College of Florida does not discriminate on the basis of race, color, religion, national origin, sexual orientation, veteran's status, disability, age, marital status, or gender identity/expression.

3. Health Services

#### Website: ncf.edu center counseling and wellness center

The mission of the Counseling and Wellness Center (CWC) is to provide services in support of the academic mission with a particular focus on development of the whole person, including emotional and physical well-being. The staff believes that the development of the whole person is critical to the academic mission of the campus.

The CWC had 3,156 scheduled visits for individual counseling in 2016-17, serving approximately 361 clients. 65% of clients identified as White, 14% Hispanic, 7% Two-or-More Races, 5% African-American; 3% Asian, and 2% Pacific-Islander. 58% of clients identified as Female, 26% Male, 12% Self-Identify, and 4% Transgender.

A half-day primary care clinic at the CWC continued to be the core of Health Services. The CWC had approximately 759 visits for health services in 2016-17.

4. Club and Intramural Athletics (sex equity only)

Website (athletics): <u>https://www.ncf.edu/about/consumer-information/athletics/</u> Website (Fitness Center): <u>https://www.ncf.edu/about/our-campus/facilities/fitness-center/</u>

#### Athletics

The major focus of the Fitness Center continues to be the expansion of recreational operations and programs on our waterfront facility. Fitness Center usage for the fiscal year was 24,653 visits.

#### **Club and Intramural Athletics**

We encourage and give whatever support we can to students who desire to found sports clubs at the college. Due to the college's unique structure, these clubs are funded by students and usually operated without staff involvement. While this does give the students a greater vested interest and opportunities to develop leadership and cooperative skills, it also tends to result in inconsistent club quality. To offset that, we seek to act as a resource for club leaders to help them connect with local leagues, hire coaches, and connect with other interested students. The Fitness Center and its classes also continue to be popular among the students. Our sole current intercollegiate team is sailing, though the Intercollegiate Sailing Association (ICSA) is a very informal organization with little in the way of formal requirements. During the fiscal year, the sailing team was mostly inactive. They did not attend official regattas and mostly just held occasional practices on campus.

#### Analysis

During 2016-2017, athletic activities funded include: Basketball (men), SCUBA club (co-ed), Soccer (co-ed), Karate club (co-ed), Meditation (co-ed), Running club (co-ed), Pilates (co-ed), Sailing (co-ed), Tennis (co-ed), Table Tennis (co-ed), and Yoga (co-ed).

<u>Activity</u>	Type	<u>Total Funds Disbursed</u>
Basketball League	men's	\$525
Bullsharks SCUBA	co-ed	\$2000
Karate	co-ed	\$1300
Meditation Class	co-ed	\$260
Soccer	co-ed	\$1555
Pilates	co-ed	\$2250
Running	co-ed	\$100
Sailing	co-ed	\$125
Table Tennis	co-ed	\$370
Yoga	co-ed	\$4755
Total disbursement for FY 20	16-17:	\$13,240
Co-ed activities:		\$12,715
Men's activities:		\$525
Women's activities:		\$0

The following is a breakdown of each activity according to funding:

While the students self-organize and self-fund their activities, the Fitness Center will continue to act as a resource that encourages activities that accommodate campus interest, and encourages sports clubs to fund part-time coaching to increase club consistency and longevity.

#### 5. Student Financial Assistance

Website: https://www.ncf.edu/admissions/cost-and-aid/

While New College of Florida offers the lowest cost baccalaureate degree in the SUS, the equitable distribution of financial aid is extremely important in recruiting, retaining, and graduating students from protected classes.



Source: 2017 SUS University Work Plan

New College of Florida complies with all general terms and conditions for participation in Federal student financial aid programs, including the regulations specific to non-discrimination.

The following information summarizes the percentage of FAFSA filers from all enrolled New College students:

<u>Category</u>	<u>% Need Met 2016-2017</u>
All FAFSA Filers	
American Indian/Alaskan Native .	
Asian/Other Pacific Islander	
Hispanic Filers	
Male Filers	
White Filers	
Female Filers	89
All Non-White Filers	
Black Filers	
Unknown/Blank	86
Married Filers	

The variance among groups is small and is due to the small number of students in various categories. When students in a small category file the FAFSA late or complete their financial aid requirements late in the academic year, institutional funds are not readily available to award. Students not meeting Satisfactory Academic Progress may have their aid terminated during the academic year. Additionally, students may no longer have eligibility remaining for certain aid programs due to new regulations put in place and their length of enrollment.

#### 6. Housing

#### Website: https://www.ncf.edu/campus-life/residential-life/

The mission of Housing includes the following:

- To provide programs and services that enhance the educational experience of students, support of the academic mission of New College and emphasize a sense of community at New College.
- To afford opportunities for student development through programming, leadership activities, and self-governance in the residence halls.
- To provide reasonably priced, quality housing for residential students.
- To maintain a healthy, safe, secure, and comfortable environment in the residence halls.
- To promote an environment that supports and celebrates individual differences and commonalities.
- To manage fiscal and physical resources to achieve effective maintenance and development of the program and the facility.

In 2016-17, approximately 667 students lived on-campus in housing options that accommodate the needs of all students, including LGBTQ students. New College of Florida offers no single-sex dormitories.

All registered, full-time students must participate in the housing assignment process each year. Through this process, students may request off-campus status. Married students and students with dependents are automatically granted off-campus status.

The Pei Courts accommodate up to 350 students in double and triple rooms with private bathrooms. There are two community lounges and one community kitchen in the three Pei Courts. The outdoor Palm Court, around which the courts are grouped, is a focus of NCF student life. One court was renovated to include two new fully-accessible ADA units. B-Dorm houses 34 students in single bedrooms with common lounges and shared hallway-bathroom facilities. The Dort and Goldstein Residence Halls have space fo 74 students each in apartment-style suites. These units have a two-burner stove, dishwasher, and a full-size refrigerator. They are composed of four individual bedrooms that share two bathrooms and a common living room in each apartment. The most recent additions to campus housing — the letter halls V, W (Peterson), X (Searing), Y, and Z (Pritzker) opened in the Fall of 2007 with a total occupancy 203. These are three or four individual bedroom / one bathroom apartment-styled units with small kitchenettes that open up to a shared living room.

Each building (with the exception of B-dorm) has rooms that can accommodate ADA students, depending on their needs. Wheelchair accessibility is available in six apartments (16 beds) in the Dort/Goldstein apartments, two fully-accessible bed spaces and four semi-accessible bed spaces in the Pei Complex, and 25 fully-accessible bed spaces in the new halls.

#### 7. Student Employment

#### Website: https://www.ncf.edu/admissions/cost-and-aid/work-study/

New College of Florida is an equal opportunity employer. Student employment is based on institutional need in various academic disciplines and offices.

#### 8. Educational and Work Environment

#### Website: www.ncf.edu/about/departments-and-offices/office-of-internal-audit-and-compliance/compliance/

New College of Florida complies with both the philosophy and practice of equal opportunity for all citizens in academic life and employment as specified by the Civil Rights Act of 1964m Section 503 of the Rehabilitation Act of 1973, and the American with Disabilities Act (ADA) of 1990. The President of New College has designated a Director of Disabilities in the Student Affairs division A Title IX Coordinator was hired in May of 2017 to ensure compliance with these policies as well as the Director of Human Resources, Legal Counsel and the Compliance Director. Inquires about NC policies and practices in regard to institutional policies, Title IV, Title VII, Title IX or ADA/Section 504 should be referred to the Chief Audit Executive/Chief Compliance Officer at 941-487-4441.

#### 9. Personnel

#### Website: https://www.ncf.edu/about/departments-and-offices/human-resources/

Student personnel, regardless of employment classification, are handled in a manner consistent with NCF's non-discrimination, equal opportunity, and diversity policies. College work study personnel are not counted among regular staff since these positions are not open to anyone who qualifies other than students.

## E: Enrollment Equity

With such a low number of students in some categories, it is difficult to analyze enrollment equity over time. Gaining or losing one student can lead to wild swings in percentages. To allow for a more meaningful analysis, the following tables display enrollment equity data with students placed into three categories: white, non-resident-alien (NRA), and all other races. The other races include Black/African-American; Hispanic; Asian; Native Hawaiian / Other Pacific Islander; American Indian/Alaska Native; Two or More Races.

	Table 1. First-Time-In-College Enrollment					
	White All other*					
Total FTIC Fall 2017	134	63	2			
Category % of Total Fall 2017	67%	32%	1%			
Total FTIC Fall 2012	153	65	5			
Category % of Total Fall 2012	69%	29%	2%			
% change from Fall 2012 to 2017	-2%	+3%	-1%			

\*Black/African-American; Hispanic; Asian; Native Hawaiian / Other Pacific Islander; American Indian/Alaska Native; Two or More Races

	Table 2. Florida College System A.A. Transfers				
	White	All other*	NRA		
Total FTIC Fall 2017	5	7	0		
Category % of Total Fall 2017	42%	58%	0%		
Total FTIC Fall 2012	4	1	1		
Category % of Total Fall 2012	67%	17%	17%		
% change from Fall 2012 to 2017	-25%	+41%	-17%		

\*Black/African-American; Hispanic; Asian; Native Hawaiian / Other Pacific Islander; American Indian/Alaska Native; Two or More Races

	Tables 3-4. Retention and Graduation Rates				
	White	All other*	NRA		
Fall 2016 cohort	143	83	83 5		
Retention Rate (in 2017)	80%	76%	80%		
Fall 2011 cohort	174	63	0		
Graduation Rate (in 2017)	68%	56%			

\*Black/African-American; Hispanic; Asian; Native Hawaiian / Other Pacific Islander; American Indian/Alaska Native; Two or More Races

	Table 5. Bachelor's Degrees Awarded					
	White	All other*	NRA			
Total FTIC Fall 2017	116	47	1			
Category % of Total Fall 2017	71%	29%	<1%			
Total FTIC Fall 2012	134	45	0			
Category % of Total Fall 2012	75%	25%				
% change from Fall 2012 to 2017	-4%	+4%				

\*Black/African-American; Hispanic; Asian; Native Hawaiian / Other Pacific Islander; American Indian/Alaska Native; Two or More Races

As the first table shows, FTIC enrollment has remained stable over the past five years. Enrollment increased 3% for non-white students from 2012 to 2017. The fourth table also shows this stability, with a 4% increase in bachelor's degrees awarded to non-white students.

The second table shows that even with combined racial categories, the number of Florida Community College A.A. Transfers is too small for meaningful analysis. It is notable that non-white transfer student enrollment increased from 1 to 7 students from 2012 to 2017.

The third table shows that non-white students did have slightly lower retention rates and noticeably lower graduation rates (compared to white students). Increasing graduation rates — specifically four-year graduation rates — remains the primary focus of NCF, as described in the <u>NCF Plan for Growth</u> and <u>NCF Accountability Plan</u>.

Results from a campuswide climate survey will be available in the 2017-18 Equity Report.

## IV: Gender Equity in Intercollegiate Athletics

New College has no formal athletic program, no team sports, and no intercollegiate athletics. All athletic activity is centered around the Fitness Center (See Academic Program Review: Sex Equity in Club and Intramural Athletics).

Element	Assessment	Area for improvement?
1. Sports offerings	None, except intramural teams	
2. Participation rates, male and female, compared with full-time undergraduate enrollment	N/A	
3. Availability of facilities	All facilities in the fitness center are open to both men and women	
4. Scholarship offerings for athletes	New College offers no scholarships for athletes	
<ul> <li>5. Funds allocated for:</li> <li>a) Athletic program as a whole</li> <li>b) Administration</li> <li>c) Travel &amp; per diem allowances</li> <li>d) Recruitment</li> <li>e) Comparable coaching</li> <li>f) Publicity and promotion</li> <li>g) Other support costs</li> </ul>	N/A	
6. Provision of equipment and supplies	All fitness center equipment is open and available to all students	
7. Scheduling of games and practice times	Scheduling for intramurals is on a first-come-first-served basis	
8. Opportunities to receive tutoring	N/A	
9. Compensation of coaches and tutors	N/A	
10. Medical and training services	N/A	
11. Housing and dining facilities and services	N/A	

#### Table 2. Sex Equity in Athletics - Areas for Improvement

Area for improvement	Program for improvement	Timetable
N/A	N/A	N/A

#### Table 3. Student Athletes by Gender, 2016-17

	Male	Female	Total
Number			
Percent			

#### Assurance of compliance with the Florida Educational Equity Act (FEEA):

- $\sqrt{}$  accommodation of interests and abilities
- \_\_\_\_\_ substantial proportionality
- \_\_\_\_\_ history and practice of expansion of sports

## V: Employment Representation

### Tenured, Tenure-Track, and Non-Tenure-Earning Faculty

	Ethnicity / Gender											
	NRA	В	AI/AN	А	Н	NH/ OPI	W	≥TWO	NOT REPORTED	Female	Male	Total
Fall 2017	1	1	0	1	3	0	46	0	0	29	23	52
Fall 2016	1	1	0	1	3	0	46	0	0	28	24	52
% change ('16-17)	0%	0%	0%	0%	0%	0%	0%	0%	0%	2%	-2%	
Fall 2012	0	2	0	1	3	0	43	0	0	24	25	49
% change ('12-17)	2%	-2%	0%	0%	0%	0%	1%	0%	0%	7%	-7%	
Area for improvement (vs. national standards)				V								

Table 1. Category Representation - Tenured Faculty

% change represents change in % of category total

Source: IPEDS Fall Staff; IPEDS Human Resources Data.

IPEDS Human Resource, instructional faculty only. Does not include Research or Public Service only faculty.

IPEDS Human Resource aligned with 2010 Standard Occupational Category (SOC) System.

#### Table 1a. Peer Comparison: Category Representation - Tenured Faculty

	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Female	Male
New College of Florida (2017)	2%	2%	0%	2%	6%	0%	88%	0%	0%	56%	44%
Selected Peer Median (2016)	0%	3%	0%	5%	3%	0%	89%	0%	0%	45%	55%
COPLAC Median (2016)	1%	2%	0%	6%	3%	0%	85%	0%	0%	44%	56%
NCF rank among selected peers	2/11	8/11	8/11	10/11	3/11	6/11	7/11	7/11	7/11	1/11	11/11
NCF rank among COPLAC peers	9/28	15/28	20/28	24/28	4/28	15/28	10/28	20/28	17/28	2/28	27/28
Area for improvement?				$\checkmark$							

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement

Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Table 2. Category Representation - Tenure-Track Faculty

	NRA	В	AI/AN	А	Н	NH/ OPI	W	≥TWO	NOT REPORTED	Female	Male	Total
Fall 2017	5	2	0	1	1	0	11	0	0	9	11	20
Fall 2016	4	2	0	1	1	0	11	0	0	8	11	19
% change ('16-17)	4%	-1%	0%	0%	0%	0%	-3%	0%	0%	3%	-3%	
Fall 2012	1	0	0	1	0	0	10	0	0	6	6	12
% change ('12-17)	17%	10%	0%	-3%	5%	0%	-28%	0%	0%	-5%	5%	
Area for improvement										$\checkmark$		

#### Ethnicity / Gender

% change represents change in % of category total

Source: IPEDS Fall Staff; IPEDS Human Resources Data.

IPEDS Human Resource, instructional faculty only. Does not include Research or Public Service only faculty.

IPEDS Human Resource aligned with 2010 Standard Occupational Category (SOC) System.

#### Table 2a. Peer Comparison: Category Representation - Tenure-Track Faculty

	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Female	Male
New College of Florida (2017)	25%	10%	0%	5%	5%	0%	55%	0%	0%	45%	55%
Selected Peer Median (2016)	6%	4%	0%	4%	5%	0%	73%	0%	0%	56%	44%
COPLAC Median (2016)	4%	4%	0%	4%	3%	0%	76%	0%	0%	52%	48%
NCF rank among selected peers	1/11	1/11	6/11	6/11	6/11	6/11	10/11	6/11	6/11	9/11	3/11
NCF rank among COPLAC peers	1/28	5/28	16/28	12/28	9/28	14/28	27/28	17/28	18/28	23/28	6/28
Area for improvement?										1	

Area for improvement?

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement

Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Ethnicity / Gender NRA Н NH/ В AI/AN А W ≥TWO NOT Female Male Total OPI REPORTED Fall 2017 1 0 0 2 1 0 6 0 0 9 1 10 Fall 2016 1 0 0 0 0 0 6 0 0 5 2 7 % change ('16-17) -4% 0% 0% 20% 10% 0% -26% 0% 0% 19% -19% Fall 2012 1 0 0 0 5 11 1 0 0 0 9 6 % change ('12-17) 1% -9% 0% 20% 10% 0% -22% 0% 0% 36% -36% Area for improvement

#### Table 3. Category Representation - Non-Tenure-Earning Faculty

% change represents change in % of category total

Source: IPEDS Fall Staff; IPEDS Human Resources Data.

IPEDS Human Resource, instructional faculty only. Does not include Research or Public Service only faculty.

IPEDS Human Resource aligned with 2010 Standard Occupational Category (SOC) System.

#### Table 3a. Peer Comparison: Category Representation - Non-Tenure-Earning Faculty

	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Female	Male
New College of Florida (2017)	10%	0%	0%	20%	10%	0%	60%	0%	0%	90%	10%
Selected Peer Median (2016)	0%	2%	0%	0%	2%	0%	91%	0%	0%	60%	40%
COPLAC Median (2016)	0%	0%	0%	0%	2%	0%	86%	0%	0%	57%	43%
NCF rank among selected peers	1/13	10/13	7/13	1/13	3/13	7/13	13/13	8/13	8/13	1/13	13/13
NCF rank among COPLAC peers	2/29	20/29	16/29	1/29	1/29	16/29	28/29	17/29	19/29	3/29	28/29

#### Area for improvement?

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Standard to identify areas with a disproportionate faculty employment:

To identify disproportionate areas, data from New College of Florida were compared to data from two groups of peer institutions (Tables 1a, 2a, 3a). Protected class categories in which New College of Florida percentages were more than two percentage points less than the medians of both peer groups were identified as areas for improvement.

#### Areas for Improvement:

- Tenured faculty: Asian
- Tenure-track Faculty: Female

#### Goals for improvement over the next three years:

- 1. Over the next three years, New College of Florida strives to employ faculty in protected classes at rates matching or exceeding the median rates of our peer institution groups.
- 2. Tenured Asian Faculty Members: Over the next three years, two additional tenure track Asian faculty members will be considered for tenure and promotion to Associate Professor. In the fourth year, an additional Asian faculty member will be considered for tenure and promotion.
- 3. Tenure Track Female Faculty: Over the next three years, the <u>NCF Plan for Growth</u> calls for the addition of up to 40 new faculty members, depending on phased funding from the Legislature. Searches during 2017-18 hired nine females for tenure track positions to begin in 2018-19.

#### Effectiveness of College's programs in employment equity:

The Faculty Handbook includes a process for searches for new faculty members. The process emphasizes equity in the search process (equity measures are highlighted in *red italics*). This process, implemented for hiring tenure eligible faculty during 2017-18, was effective in recruiting and hiring female and Hispanic faculty members.

#### 5.2.1 The Search Procedure: Regular Full-time Faculty

The hiring of a new tenure-track faculty member is the most consequential and important decision in which New College of Florida regularly engages. Over the course of a career, each faculty member shapes the academic program and the lives and futures of thousands of students. Each must inspire students of all backgrounds, provide a role model in whom diverse students can view themselves, and ensure academic excellence over two, three, or more decades. It is a tall order, made even more difficult by a highly competitive marketplace for the ablest future faculty members. *In order to serve the students we will have in* 

years to come, the college is committed to hiring a diverse faculty at the highest levels of academic and artistic excellence.

#### 5.2.2.1 Pre-Search

- A. Normally the provost (in consultation with the faculty and as approved by the president) will authorize faculty searches in the academic year preceding the search, and then charge the chair of the appropriate division to assemble a search committee.
- B. To facilitate successful faculty recruitment, before the search begins, the Office of the Provost collects and disseminates information relevant to the academic job market, including average starting salaries by field, statistics regarding the number and demographic breakdown of doctoral degree recipients by field, and *the graduate programs that produce the highest numbers of minority Ph.D.s.* This information will be made available to the members of each search committee at the initiation of each new faculty search.
- C. Committees for faculty searches should include 1) at least three members, but not more than seven, and 2) *minority and 3) female representation*. Membership may include students. No faculty member should be formally or informally coerced to serve on such a committee because of status as member of an underrepresented group. To achieve the desired diversity in the committee while not overburdening faculty from underrepresented groups, academic experts from the wider community and in the relevant field may serve in the search committee.
- D. Once committees are formed, the provost hosts a meeting of the committee members with search chairs and chairs of successful searches in previous years. This meeting is open to the public and has the goals of 1) instructing the committee in the creation of an ad that attracts a diverse pool of qualified candidates and 2) discussing and implementing strategies to attract a diverse pool of candidates. 3) Instruct committee members in appropriate ways to invite potential candidates to apply for the position. These strategies may include, but are not limited to assigning search committee members to contact graduate schools, and tapping pipelines of diverse candidates. If attractive candidates are identified, a member of the committee will contact them to encourage them to apply. In addition, the provost will provide information on the Sunshine Laws and best practices for interviewing and conducting campus visits.
- E. During the spring before the search, the committee will work with the Office of the Provost to construct the following conditions for the development of a diverse pool of applicants.
  - 1. Define the scope of the position to meet the potential for the search
  - 2. Provide language in the advertisement that expresses a campus commitment to enhancing the diversity of the community
  - 3. Among the documents to be submitted request a statement regarding the candidate's contribution to, or approach to, achieving diversity
- F. The division must approve the job description drafted for the position by the search committee.
- G. The committee will conduct a national search following the principles and procedures set forth in Sections 5.2 and 5.2.1, in compliance with public meeting requirements, and following appropriate search guidelines as specified by the Office of Human Resources (HR) and the Office of the Provost.
- H. Upon approval by the division, a Position Vacancy Announcement (PVA) must be submitted to HR. This announcement provides necessary information to advertise the position on the NCF website.

#### 5.2.2.2 The Search

- A. An Applicant Data Form (ADF) to be returned to the Office of Human Resources must be sent to every applicant that applies for the position.
  - 1. For those who apply online using the Applicant Tracking System (ATS), an ADF is sent to the candidate automatically
  - 2. When the ATS is not used, the chair of the search is responsible for sending an ADF to each candidate. In these cases the ADF should still be sent directly to HR and not returned to the chair of the search committee, and shall play no role in selecting a candidate

- B. Before the committee begins the review process, the Director of Human Resources in connection with the provost will assess whether or not a diverse pool has been established based on Applicant Data Forms that have been voluntarily submitted by potential candidates. Depending on the diversity of the pool, members of the search committee will either be given permission to continue with the search or will be asked to contact additional potential candidates for consideration as part of the pool
- C. Once the initial pool of applicants is deemed acceptable, the committee will begin the review of the files and the selection of a short list of applicants for interviews via video conference (e.g. Skype) or held at national conferences, striving to maintain the diversity of the pool.
  - 1. The provost in consultation with the chair of the search committee may add qualified candidates to the short list who demonstrate the knowledge, skills, and abilities in support of an inclusive culture and learning environment
  - 2. The committee should identify a member of the search committee to call candidates in this short list to arrange for the video conference interviews or at the national conference. To ensure fairness, all such interviews will normally be conducted at the same venue and in the same manner; however, with the approval of the provost, alternate arrangements may be made for internal candidates and for candidates from underrepresented populations who cannot otherwise be included in the interview process
- D. From this diverse short list, the search committee identifies its top three candidates to invite to campus. The provost, in consultation with the chair of the search committee, may add qualified candidates to the short list who demonstrate the knowledge, skills, and abilities in support of an inclusive culture and learning environment. In the case of disagreements between the provost and the search committee, the question will be brought to the attention of the president. In preparation for on-campus interviews the chair or chair's designee and the search committee will do the following with the guiding principle that a diverse environment and academic excellence are inextricably linked:
  - 1. Establish a student group to interview all campus visitors for each search
  - 2. Identify faculty willing to meet with campus visitors informally
  - 3. Decide what general areas will be covered by the interview questions, where the interview will take place, and who will meet with the candidates
  - 4. Review the Guidelines on Interview and Employment Application Questions and Screening Applications and Resumes
  - 5. Develop a list of questions to be asked of all candidates. This does not, however, preclude asking additional questions to individual candidates or impede the free flow of discussion
  - 6. Candidates are asked to present in a forum based on their scholarship, which are widely announced and open to all members of the campus community. This custom does not preclude alternative or additional activities that would assist the committee in its evaluation of candidates. Such alternative activities must be the same for all candidates
  - 7. Arrangements should be made, time permitting, for the candidate to tour the campus and the City of Sarasota
- E. After campus visits have concluded, the search committee solicits feedback from all members of the campus community who met with the candidates. The search committee then meets to deliberate and to select the candidates in order of preference, also determining if any of the finalists are considered unacceptable. Following this meeting, the committee makes a recommendation to the division. Once approved by the division, the Chair of the division sends the list to the Provost.
- F. The provost acts on the Division's recommendation, selecting a finalist if necessary.
  - 1. The provost or the president may conclude that the search did not yield an appropriate candidate, or list of candidates, for the position and choose not to approve the filling of the position
  - 2. If the provost or the president rejects the division's recommendation, the search committee reconvenes to make a new recommendation to the Division. If no suitable candidates can be identified by the search committee, steps are taken to initiate a new search in the following academic year

- G. If the provost approves the recommendation, the provost forwards the recommendation to the president for approval. Upon approval of the president, the division chair meets with the provost to determine the terms of an offer package and the division chair makes an offer to the candidate.
- H. The candidate is given a fixed period of time (usually two weeks) to accept or decline the offer, and to negotiate any additional terms. If the candidate accepts the offer, an official offer letter, signed by the division chair, provost, and president, is sent to the candidate. The search process concludes upon receipt of the signed offer letter back from the candidate.
- I. If the first candidate selected declines the offer, the division chair contacts the second candidate, as proposed by the search committee and approved by the division, and this candidate is also given a fixed period of time to respond
  - 1. The cycle continues until an approved finalist accepts the college's offer
  - 2. If all finalists decline, or the remaining finalists are considered unacceptable, another search is constituted for the next academic year.

### Executive / Administrative / Managerial

	Ethnicity / Gender												
	NRA	В	AI/AN	A	Н	NH/ OPI	W	≥TWO	NOT REPORTED	Female	Male	Total	
Fall 2017	0	2	0	3	3	0	51	0	0	35	24	59	
Fall 2016	0	1	0	3	3	0	48	0	0	31	24	55	
% change ('16-17)	0%	2%	0%	0%	0%	0%	-1%	0%	0%	3%	-3%		
Fall 2012	0	3	0	2	1	0	34	0	0	19	21	40	
% change ('12-17)	0%	-4%	0%	0%	3%	0%	1%	0%	0%	12%	-12%		
Area for improvement (vs. national standards)													

#### Table 4. Category Representation - Executive/Administrative/Managerial

% change represents change in % of category total

Source: IPEDS Fall Staff; IPEDS Human Resources Data.

IPEDS Human Resource aligned with 2010 Standard Occupational Category (SOC) System.

#### Table 4a. Peer Comparison: Category Representation - Executive/Administrative/Managerial

	NRA	В	AI/AN	А	Н	NH/OPI	W	≥TWO	Unk	Female	Male
New College of Florida (2017)	0%	3%	0%	5%	5%	0%	86%	0%	0%	59%	41%
Selected Peer Median (2016)	0%	4%	0%	0%	4%	0%	88%	0%	0%	51%	49%
COPLAC Median (2016)	0%	5%	0%	0%	2%	0%	84%	0%	0%	55%	45%
NCF rank among selected peers	7/13	9/13	7/13	3/13	6/13	8/13	8/13	8/13	7/13	4/13	11/13
NCF rank among COPLAC peers	17/29	19/29	17/29	5/29	10/29	15/29	12/29	18/29	19/29	11/29	19/29

Area for improvement?

See Appendix I for information about Selected Peers, COPLAC, and methods to identify areas for improvement

Schools with highest percentages within each category are ranked #1. In case of ties, NCF was ranked in the middle of all tied schools.

#### Standard to identify areas with a disproportionate managerial employment:

To identify disproportionate areas, data from New College of Florida were compared to data from two groups of peer institutions (Table 4a). Protected class categories in which New College of Florida percentages were more than two percentage points less than the medians of both peer groups were identified as areas for improvement.

#### Areas for Improvement:

• All protected class categories were at least within two percentage points of our peer group medians.

#### Goals for improvement over the next three years:

Over the next three years, New College of Florida strives to employ protected class managerial staff at rates matching or exceeding the median rates of our peer institution groups.

#### Effectiveness of College's programs in employment equity:

We have conducted analyses of our total employment process, including evaluating the workforce by organizational unit and job group, personnel activity, compensation systems, and other personnel procedures to determine whether and where impediments to equal employment opportunity exist. An analysis of each of these processes suggests that the University makes selections in a nondiscriminatory manner and that no policy or practice excludes members of minority groups, or women, from employment opportunities.

## VI. Areas of Improvement/Achievement

Areas for Improvement	Achievement Report					
Academic Services, Programs, and Student Enrollment	Academic Services, Programs, and Student Enrollment					
Our primary areas for improvement are the retention and graduation rates of protected class students. Increased student affairs programming – as described in the <u>NCF Plan for Growth</u> – and clarified pathways to graduation should improve student persistence and completion.	Efforts to recruit protected class FTIC and Florida Community College A.A. Transfer Students were successful, as FTIC enrollment increased by 3 percentage points and Transfer Student enrollment increased by 24 percentage points from 2012 to 2017 in total enrollment from the following protected classes: Black/African-American; Hispanic; Asian; Native Hawaiian / Other Pacific Islander; American Indian/Alaska Native; Two or More Races.					

Sex Equity in Athletics	Sex Equity in Athletics
New College has no formal athletic program, no team sports, and no intercollegiate athletics.	New College has no formal athletic program, no team sports, and no intercollegiate athletics.

Employment	Employment
Continue to implement NCF's strategic plan that includes faculty/administrative diversity as an objective. Continue to advertise in minority publications and include cost effective targeted recruiting by maintaining data on advertising efforts to diverse publications for cost effectiveness and results. Per data analysis, targeting advertising to venues that provide greatest results. Increase training efforts by posting and employing an Employee and Organizational Development Coordinator who can provide targeted education and training to staff and faculty search committees in the areas of diversity and inclusion in the employment process.	NCF was successful in its efforts to increase diversity as NCF is within two percentage points of the median of our peer group. The only decrease in percentage noted was in non-protected class groups

## VII. Protected-class Representation in the Tenure Process

Table 1. Protected-Class Representation in the Tenure Process, 2015-16

		Applied	Withdrawn	Denied	Deferred	Nominated
Male	American Indian or Alaskan Native					
	Asian					
	Black or African American					
	Hispanic					
	Native Hawaiian/Other Pacific					
	Two or More Races					
	White					
	Other, Not Reported					
	Total Male					
Female	American Indian or Alaskan Native					
	Asian					
	Black or African American					
	Hispanic					
	Native Hawaiian/Other Pacific					
	Two or More Races					
	White	1				1
	Other, Not Reported					
	Total Female					
	Grand Total					

APPLIED: Faculty whose names have been submitted for tenure review. Sum of Withdrawn, Denied, and Nominated. WITHDRAWN: Faculty who withdrew from tenure consideration after applying for review. DENIED: Faculty for whom tenure was denied during the review process. NOMINATED: Faculty for whom tenure is being recommended by the University

In 2016-17, one faculty member applied for tenure and promotion to Associate Professor. The single applicant was a White Female. The White Female was nominated and granted tenure and promotion.

#### Guidelines for Equitable Assignments for Instructional Faculty

Full time New College faculty members are assigned to teach two classes each semester with three weekly contact hours for each class. In addition, New College faculty members are assigned to teach tutorials and senior thesis conferences for six contact hours each week.

The New College Faculty Handbook Section 6.6 defines the accepted norm for instructional assignments.

The New College of Florida and United Faculty of Florida Collective Bargaining Agreement (CBA) Article 9.2 requires that "employee shall be given assignments which provide equitable opportunities, in relation to other employees in the same division/unit, to meet the required criteria for promotion, tenure, and merit salary increases."

CBA Article 9.3 reiterates that an important consideration in the instructional assignment is "the opportunity to fulfill applicable criteria for tenure, promotion, and merit salary increases."

#### Faculty Handbook Section 6.6 Faculty Teaching Responsibilities

While there are no official college-wide policies or rules governing teaching loads, the accepted norm is that each faculty member will teach two courses or seminars per semester. In addition, faculty are expected to offer tutorials, supervise independent reading projects, independent research, senior theses, and other educational activities. See Florida Statutes 1012.945: Required Number of Classroom Teaching Hours for University Faculty Members.

Employees shall be apprised in writing, at the beginning of their employment and at the beginning of each year of employment thereafter, of the duties and responsibilities in teaching, research and other creative activities, service, and of any other specific duties and responsibilities assigned for that year. New College Faculty receive an assignment of duties letter from their Division Chairperson at the beginning of each semester, which describes their academic responsibilities.

#### Collective Bargaining Agreement between New College of Florida and United Faculty of Florida 2015-18 Article 9.2 Annual Assignment

- a) Teaching and research faculty shall be apprised in writing at the beginning of their employment and at the beginning of each semester of employment thereafter, of the duties assigned in teaching, research and other creative activities, public service, and of any other specific duties assigned for that semester. Other employees shall be apprised in writing at the beginning of their employment and at the beginning of each year of employment thereafter, of the duties assigned for that year. Except for an assignment made at the beginning of an employee's employment, the person responsible for making an assignment shall notify the employee prior to making the final written assignment. The assignment shall be communicated to employees no later than four 4 weeks in advance of its starting date, if practicable.
- b) Instructional Assignment. Specific instructional assignments are finalized in consultation with individual faculty members. In cases in which a faculty member disagrees with the assignment, their supervisor will produce a written rationale for the assignment. The period of an instructional assignment during an academic year shall not exceed an average of seventy-five (75) days per semester and the period for testing, advisement, and other scheduled assignments shall not exceed an average of ten (10) days per semester. In addition, the faculty will receive a four-week Independent Study Period supervision assignment for the New College January Interterm. Within each semester, activities referred to above shall be scheduled during contiguous weeks with the exception of fall break and spring break.
- c) Research Assignment. To assure scholarly growth, upon completion of a specified length of full-time service, teaching faculty members are eligible for research assignment, also referred to as research sabbatical, described in Article 22.3.
- d) Change in Assignment. Should it become necessary to make changes in an employee's assignment, the College shall notify the employee prior to making such change and shall specify such change in Writing.
- e) Equitable Opportunity. Each employee shall be given assignments, which provide equitable opportunities, in relation to other employees in the same division/unit, to meet the required criteria for promotion, tenure, and merit salary increases.

#### Article 9.3 Considerations in Assignment

a) In drafting the assignment of duties, employee and supervisor are charged to consider:

- 1. the needs of the program;
- 2. the employee's qualifications and experiences, including professional growth and development and preferences;
- 3. the character of the assignment, including but not limited to the number of hours of instruction, the preparation required, whether the employee has taught the course in the past, the average number of students enrolled in the course in past semesters and the time required by the course, whether travel to another location is required, the number of preparations required, the employee's assignments in other semesters, the terms and conditions of a contract or grant from which the employee is compensated, the use of instructional technology, the availability and adequacy of materials and equipment, secretarial services, student assistants, and other support services needed to perform the assignments, and any changes which have been made in the assignment, including those which may have resulted from previous evaluations of the employee; and
- 4. the opportunity to fulfill applicable criteria for tenure, promotion, and merit salary increases
# VIII. Promotion and Tenure Committee Composition

	Blac Afri Amer	can			Asi	an	Nat Hawa or O Pac Islar	aiian ther ific	Hisp	anic	Two Mo Rao	re	Wh	iite	Other Repo		То	tal
	М	F	М	F	М	F	М	F	М	F	М	F	М	F	М	F	М	F
University Committee					1								2	3			3	3

#### Table 1. Promotion and Tenure Committee Composition, AY 2016-17

In Academic Year 16-17, New College of Florida had a single Promotion and Tenure Committee. The committee is elected by the faculty and includes six faculty members. The committee included one (1) Asian male, three (3) white females and two (2) white males.

#### **Promotion and Tenure Committee Composition**

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# IX. Other Requirements

# A. Budget Plan

The NCF Budget Plan for 2016-17 supported diversity and inclusion among students, faculty, and staff.

Program	Activity / Purpose	'16-17 Allocation
Gender and Diversity Center	Staff support (Director; TA)	\$18,629
Faculty / Staff development funds	Various purposes	\$16,900
Salary / preventative counter offers	Retention of protected class faculty	\$10,000
Outreach recruitment advertising	To reach protected class candidates	\$5,000
Underrepresented student recruitment	Purchase Florida College System and FTIC URM search names to enhance applicant pools and for early recruitment ID	\$7,750
NAACP Sarasota Chapter (Foundation funds)	Corporate sponsor; 10 youth memberships	\$2,000
Hispanic Association of Colleges & Universities	Annual membership fee	\$1,940
	Total:	\$62,219

# **B.** President's Evaluation

Florida Regulations require the Board of Trustees to evaluate the President annually. The evaluation categories developed by the NCF Presidential Evaluation Committee include: Leadership, Internal Affairs, External Affairs, Advocacy, Management, the President's Institutional Priorities for 2017-18, Multi-Year Priorities, Personal Qualities, and an Overall Evaluation. The full evaluation for 2017-18 — approved by the NCF Board of Trustees on June 9, 2018 (the same date this report was approved) — is included as a final appendix to this report.

# C. Top Administrators' Evaluations

Each year, top administrators at New College of Florida identify areas within their divisions that relate to institutional goals of achieving equity for underrepresented groups, as described in previous sections of this report. These contributions toward equity are recorded in annual effectiveness reports.

President O'Shea completes evaluations for, and discusses evaluations with, top administrators annually.

For 2016-17, the Office of the President and the Student Affairs Division each identified goals related to diversity, inclusion, and equity. The Office of the President set a goal to increase enrollment diversity. As described in this report, that goal was met for both FTIC and Florida A.A. Transfer students. The Student Affairs Division set goals to promote and sustain a healthy, safe environment through programming efforts and professional outreach; to use a programmatic model focused on resiliency, positive growth, and skill building to help students be successful in their endeavors; and to increase programming in order to provide a diverse offering to reach more students.

# Appendix I: Peer Comparison Data

To identify areas for improvement, data in this report were compared to two groups of peer institutions:

Selected Peers:	Earlham College	Pitzer College
	Evergreen State College	Southwestern University
	Hampshire College	St. Mary's College of Maryland
	Hendrix College	University of Minnesota-Morris
	Massachusetts College of Liberal Arts	University of Wisconsin-Superior
	Millsaps College	Washington & Jefferson College
	Selected Peers:	Evergreen State College Hampshire College Hendrix College Massachusetts College of Liberal Arts

2. COPLAC (Council of Public Liberal Arts Colleges):

Eastern Connecticut State University	Southern Utah University
Evergreen State College	St. Mary's College of Maryland
Fort Lewis College	SUNY College, Geneseo
Georgia College & State University	Truman State University
Henderson State University	University of Illinois at Springfield
Johnson State College	University of Maine, Farmington
Keene State College	University of Mary Washington
Mansfield University of Pennsylvania	University of Minnesota, Morris
Massachusetts College of Liberal Arts	University of Montevallo
Midwestern State University	University of North Carolina, Asheville
Ramapo College of New Jersey	University of Science and Arts of Oklahoma
Shepherd University	University of South Carolina Aiken
Sonoma State University	University of Virginia's College at Wise
Southern Oregon University	University of Wisconsin, Superior

The 12 selected peers were selected from a total of 264 liberal arts colleges in March of 2018. Using 50+ variables from IPEDS, the selected peers represent the 12 schools most similar to New College of Florida in these areas:

- a) National rankings
- b) Finances (revenues/expenses per FTE in various areas, endowment)
- c) School type (selectivity / admissions rates, full-time/part-time enrollment, transfer-in rates, setting, use of distance education, tenure system)
- d) Enrollment (UG headcount, graduate headcount, Pell, gender, race, % adult students)
- e) Student success (graduation/retention rates)
- f) Staffing levels
- g) Whether the school listed New College of Florida as a peer

More information about COPLAC members can be found at <u>http://coplac.org/members/</u>.

Equity-related data for these peer groups were downloaded from IPEDS (using the most recent data available for each table). The median value was then calculated for each peer group on each variable. Finally, the rank of New College of Florida among each peer group was calculated (with the highest values being ranked #1). If New College tied with peer institutions, New College was assigned the middle rank among all the tied institutions.

To identify areas for improvement, the New College of Florida category percentages (for female and race/ethnic protected classes) were compared to the median percentages for both peer groups. Each NCF category that was more than two percentage points lower than both peer group medians was identified as an area for improvement.

Appendix II: NCF Regulations 1-1016; 3-4018; 3-4022; 3-4027

#### **CHAPTER 1 - General Provisions and Executive Affairs**

#### 1-1016 ADA

NCF complies with the requirements of the Americans with Disabilities Act of 1990, 42 U.S.C. 12101, and all other Federal and State laws and regulations prohibiting discrimination on the basis of disability or handicap. In order to comply with section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990, NCF strives to afford people with disabilities equal opportunity and full participation in all aspects of college life. To that end, it is the policy of NCF to provide equal employment and admission opportunity for, and to make reasonable accommodation to employ and admit the disabled, and assist disabled students in independent living and self-sufficiency as required by law.

- (1) Unlawful discrimination based on disability is unacceptable conduct that will not be tolerated at NCF.
- (2) The President or his or her designee is responsible for coordinating compliance with these policies.
- (3) Public Accommodations. Sponsors of programs and events, such as campus cinemas, lectures and speakers, must advise potential attendees who may require reasonable accommodation to participate that such accommodation must be requested of the program sponsor at least five (5) working days prior to the event. Normally, the accommodation will be provided and funded by the program sponsor; however, requests for assistance and questions may be directed to Student Disability Services. Final decisions on public accommodations issues will be made by the Vice President for Finance and Administration.
- (4) Employees
  - (a) Application
    - 1. NCF may consider the skills, knowledge and/or experience of an applicant with a disability as attributes which could contribute to the diversity goals of NCF.
    - 2. Applicants are entitled to request reasonable accommodation in the application process, e.g., alternative application format or assistance in completion of the application, at least five (5) working days prior to time accommodation needed.
    - 3. NCF may make pre-employment inquiry as to an applicant's ability to perform essential job functions with or without reasonable accommodation and invite an applicant to give five (5) working days notice of any reasonable accommodation needed during the recruitment/hiring process. Otherwise, NCF not make pre-employment inquiry as to disability. Medical/Disability records are kept separate in Human Resources/Employee Relations files.
    - 4. Pre-employment physical exams may be required for those positions for which there is a bona fide job related physical requirement, however, if such exams will be required of persons with a disability then those exams must also be given to all persons seeking the position after conditional job offers are made.
  - (b) Employment
    - 1. NCF will not unlawfully discriminate against its employees on the basis of disability and will provide accessibility and reasonable accommodation to its employees with regard to any

#### **CHAPTER 1 - General Provisions and Executive Affairs**

aspect of employment including fringe benefits, training, conferences, professional meetings and recreational/social activities sponsored by NCF. To request a reasonable accommodation under the Americans with Disabilities Act (ADA), an employee of NCF must:

- a. Submit a written request outlining the requested accommodation(s) to his/her immediate supervisor. Provide a copy of the request to appropriate Human Resources staff.
- b. Attach documentation to the written request, including diagnosis of a disability, from the employee's primary health care practitioner. "Primary health care practitioner" is defined as a medical doctor, psychiatrist, or licensed psychologist.
- 2. The employee's supervisor will provide the appropriate Human Resources staff with:
  - a. A copy of the employee's position description which enumerates the essential and marginal functions of the job. Should the supervisor need assistance in determining the essential functions of the position, the supervisor may consult with the appropriate Human Resources staff.
  - b. A brief statement outlining the potential impact of the requested accommodation upon the department.
- 3. In consultation with the supervisor, the appropriate Human Resources staff will:
  - a. Determine and, if appropriate, offer an appropriate and reasonable accommodation to the employee and direct supervisor via memorandum.
  - b. Assign an "Accommodation Request Number" (ARN) for internal processing.
- 4. NCF employees scheduled for either domestic or international travel and who are requesting an ADA reasonable accommodation for such should submit their request a minimum of five (5) working days in advance of the scheduled travel.
- 5. The responsibility for funding the cost of a reasonable accommodation rests with the employee's department. Should a department demonstrate that funds do not exist, the department supervisor should then refer a request for co-funding to the next highest administrative level. Final decisions on employee disability issues for will be made by the Vice President for Finance and Administration.

#### (5) Students

- (a) Applications
  - 1. Disability Accommodation. To protect the individual's legal rights, Admissions will not make any pre-admission inquiries or documentation requests in regard to a disability unless the student appeals a denial of admission.
  - 2. An individual with a documented disability may wish to be considered for admission on the basis of a reasonable substitution for an admissions requirement so long as the substitution

#### **CHAPTER 1 - General Provisions and Executive Affairs**

does not alter the fundamental nature of the requirement (section 1007.264, Florida Statutes and section 1007.265, Florida Statutes). In the case of applicants with disabilities, NCF will grant reasonable substitution or modification of any admission requirement pursuant to Board of Governors Regulation 6.018, where NCF's Student Disabilities Services coordinator reviews the documentation submitted by the applicant and assesses that the failure of the applicant to meet the requirement is due to his or her disability, and the Dean of Enrollment Services & Information Technology determines that substitution or modification does not constitute a fundamental alteration of NCF's academic program. The applicant should submit evidence that any unmet requirement is due to his or her disability, and would not constitute a fundamental alteration of NCF's academic program.

- 3. The applicant may appeal the decision regarding substitution or modification to the President. The President's decision on appeal shall constitute NCF's final decision.
- (b) Academic and Student Affairs Accommodations
  - 1. Student Disability Services (SDS) in the Counseling and Wellness Center, 5800 Bay Shore Road, Sarasota, Florida, arranges accommodations for, determines the disability status of, and, in conjunction with staff and faculty, identifies success strategies for disabled students.
  - 2. Success strategies and accommodations include but are not limited to, extended time on examinations, alternate formats for printed materials, and the services of interpreters.
  - 3. Student Disability Services can be reached through the Counseling and Wellness Center at 941-487-4254.
  - 4. Students with disabilities are invited to identify themselves as having a disability and are responsible for registering with SDS by providing appropriate documentation of their disabilities. Information received by SDS is confidential.
  - 5. Once a student is approved for services, he or she will be provided with ways to access accommodations.
    - a. An informational letter will be prepared for each of the student's professors.
    - b. The student is then responsible for following up with the faculty.
    - c. Faculty cooperation is vital to institutional compliance with the Americans with Disabilities Act of 1990.
- (6) Miscellaneous
  - (a) Confidential documentation prepared in connection with requests for accommodation will be maintained apart from other personnel, student or other records.
  - (b) NCF may request any person seeking accommodation to provide documentation of a specific and generally recognized physical or mental impairment that shows that the specific modification being requested is appropriate and necessary for the diagnosed disability.

#### **CHAPTER 1 - General Provisions and Executive Affairs**

(c) The General Counsel is available to assist with questions concerning ADA and the law and should be notified in the event an accommodation requestor retains a lawyer or otherwise makes claims in an external legal or administrative forum.

Authority: Article IX, Sec. 7, Fla. Constitution; 29 U.S.C. 701; 42 U.S.C. 12101; Fla. Board of Governors Regulation 1.001

*History: Adopted*04-27-02, as *Policy* 0-004; *Revised and renumbered* 06-29-10; *Revised* 09-11-10, 03-11-17 (technical amendment)

#### **CHAPTER 3 - Administrative Affairs**

#### 3-4018 Sexual Discrimination / Harassment

The College shall actively promote a community in which diversity is valued. The College is committed to promoting an environment free from discrimination and harassment based on race, color, religion, age, disability, sex, sexual orientation, gender expression, gender identity, national origin, marital status, veteran status or any other protected characteristic under the law. This regulation pertains specifically to discrimination and harassment based on gender.

This regulation applies to all employees and students of NCF. It also covers harassment involving patrons, vendors, and other individuals with whom employees or students of the College have interaction as a condition of their employment or educational experience.

#### (1) Definitions

- (a) Sexual discrimination, as defined by Title IX of the Education Amendments, includes:
  - 1. Sexual Misconduct: Sexual violence, including rape, sexual assault, sexual battery and sexual coercion, are physical sexual acts, perpetrated against a person's will or while a person is incapable of giving legal consent.
    - a. Sexual assault: Sexual conduct that constitutes sexual abuse, conduct or contact either by force, incapacitation or threat.
    - b. Domestic violence: Violence committed by a current or former spouse, co-parent or person similarly situated.
    - c. Dating violence: Violence committed by a person who is or has been in a social relationship of an intimate or romantic nature with the victim.
    - d. Stalking: Conduct directed at a specific person that could cause a reasonable person to fear for the person's safety or safety of others or suffer substantial emotional distress.
  - 2. Sexual Harassment: Unwelcome sexual advances, requests for sexual favors and other verbal or physical conduct of a sexual nature when:
    - a. Submission to such conduct is made, either explicitly or implicitly, a term or condition of an individual's employment or educational experience;
    - b. Submission to or rejection of such conduct by an individual is used as the basis for employment or educational decisions affecting that individual; or
    - c. Such conduct is so pervasive or severe that it has the purpose or effect of unreasonably interfering with an individual's work or academic performance or limiting participation in College programs by creating an intimidating, hostile, or offensive working or

#### **CHAPTER 3 - Administrative Affairs**

educational environment.

- d. Sexual harassment does not include verbal expression or written material that is relevant and appropriately related to the subject matter of a course/curriculum or to an employee's duties. This policy is not intended to abridge academic freedom or the College's educational mission.
- (b) Consent: An understandable exchange of affirmative words or actions, which indicate a willingness to participate in mutually agreed upon sexual activity. Consent must be informed, freely and actively given. The lack of a negative response is not consent. An individual incapacitated by alcohol and/or drugs, whether voluntarily or involuntarily consumed, may not give consent. Effective consent also may not be given by minors or the mentally disabled.
- (2) It is the policy of New College of Florida to take immediate and corrective action to eliminate harassment or discrimination, prevent their recurrence and address their effects.
- (3) Prohibited Conduct. The following actions are prohibited:
  - (a) Sexual discrimination/harassment by or between any faculty member, staff member or student, including individuals of the same sex;
  - (b) Sexual discrimination/harassment by any faculty member, staff member or student against any individual who is not a faculty member, staff member or student while assigned to duties or academic programs of the College regardless of their work location;
  - (c) Sexual discrimination/harassment by any vendor or individual external to the College against any faculty member, staff member or student during the transaction of business with the College;
  - (d) Discrimination/harassment may include displays or circulation of written or electronic materials or pictures degrading on the basis of gender, and verbal abuse or insults directed at or made in the presence of an individual on the basis of gender;
  - (e) Retaliation by any faculty member, staff member or student against any individual who, in good faith, has made any allegation of discrimination or sexual harassment, or who has testified, assisted, or participated in any way in any investigation, proceeding, or hearing conducted under this policy or any federal or state law;
  - (f) Knowingly making false accusations or allegations of discrimination or sexual harassment, or making false statements in any inquiry or investigation of alleged discrimination or sexual harassment.
- (4) Potential Participants. Potential participants in a sexual harassment situation include, but are not limited to:
  - (a) faculty--faculty

#### **CHAPTER 3 - Administrative Affairs**

- (b) faculty--student
- (c) faculty assistant--student
- (d) supervisory staff--subordinate staff
- (e) staff--faculty
- (f) staff--staff
- (g) staff--student
- (h) student--student
- (i) faculty-staff
- (j) patrons, vendors or other individuals that interact with members of the campus
- (5) New College of Florida recognizes that not every advance or action of a sexual nature constitutes harassment. Whether a particular action or incident constitutes harassment depends on an examination of all available and relevant facts and circumstances. Such facts and circumstances may include the degree to which the conduct affected one or more student's education, the type, frequency, and duration of the conduct, and the identity of and relationship between the two parties. Examples of prohibited conduct may include, but are not limited to the following when they meet the definitions of sexual misconduct or harassment, as listed above:
  - (a) Displaying or telling of sexually oriented or discriminatory jokes, statements, photographs, drawings, computer images, web sites, videos, slides, graphics, calendars, cartoons, e-mails or other communications;
  - (b) Making sexually explicit or discriminatory gestures or sounds based upon gender;
  - (c) Making actual or implied promises of an employment or educational opportunity or benefit in exchange for sexual activity;
  - (d) Making actual or implied threats to impede or interfere with employment or educational opportunities or benefits for failing to agree to or engage in sexual activity;
  - (e) Inappropriate and unwelcome touching, including but not limited to, patting, fondling, pinching, attempted or actual kissing;
  - (f) Requesting or coercing sexual intercourse or sexual favors;
  - (g) Attempting to or actually engaging in a sexual assault;
  - (h) Continuing to ask someone for a date after being told "no;"

#### **CHAPTER 3 - Administrative Affairs**

- (i) Continuing any of the conduct listed in the above examples after being told or being otherwise made aware that the conduct is unwelcome;
- (j) Retaliation by any faculty member, staff member or student against any individual who, in good faith, has made any allegation of discrimination/harassment, or who has testified, assisted, or participated in any way in any investigation, proceeding, or hearing conducted under this regulation or any federal or state law;
- (k) Knowingly making false accusations or allegations of discrimination/harassment, or making false statements in any inquiry or investigation of alleged discrimination/harassment;
- Prohibited conduct does not include verbal expression or written material that is relevant and appropriately related to the subject matter of a College course/curriculum or to an employee's duties.
- (6) Consensual Amorous or Sexual Relationships. New College of Florida strives to create and maintain a professional, collegial environment for work and study. Professional and collegial relationships are based on mutual respect and trust. When persons in positions of unequal power engage in amorous or sexual relationships they should be aware that they may be at risk of being accused of sexual harassment, either during the relationship or after the relationship ends, or being accused of having a conflict of interest. For additional information concerning conflicts of interest please refer to Ch. 112, Part III, Florida Statutes, the applicable collective bargaining agreement, and State of Florida Board of Education and College rules.

The College recognizes that consensual, amorous or sexual relationships between two people of unequal position or power (e.g., between a supervising staff member and a subordinate staff member, faculty member and student, or staff member and student) may become exploitative or lead to charges of sexual harassment. Accordingly, the College encourages its staff, faculty and students to refrain from such relationships.

- (7) Reporting Discrimination or Sexual Harassment. If you believe you have been discriminated against or sexually harassed, you may:
  - (a) Tell your supervisor or another higher level administrator or the head of the department in which you are enrolled or employed, of the offensive behavior; and/or
  - (b) Contact Director of Human Resources (if a faculty or staff member) or the Dean of Students (if a student) or any other management individual with whom you may feel comfortable discussing the matter;
  - (c) Contact the Title IX Coordinator;
  - (d) Report online using the NCF Sexual Violence Reporting Form.
- (8) Procedures for Internal Handling of Complaints or Allegations of Discrimination or Sexual Harassment.

#### **CHAPTER 3 - Administrative Affairs**

- (a) Authority to Investigate. When the College receives notice of conduct which appears to be discrimination or harassment, it will promptly investigate the allegation in a fair, expeditious, and equitable manner.
- (b) If the College knows about possible sexual harassment or sexual violence, the Title IX Coordinator or its designee shall promptly investigate to determine what occurred and then take appropriate steps to resolve the situation even if no complaint has been filed. In the event that no complaint has been filed, the investigator shall follow the same procedures for formal investigations except that there will be no complainant.
- (c) Filing of Complaints. The following persons may file complaints or allegations of sexual harassment:
  - 1. Any person protected under section (3) who believes that he/she has been sexually harassed.
  - 2. On behalf of any person protected under section (3), any person having knowledge of instances of sexual harassment of such protected person by any student, faculty or staff member, or vendor, or individual external to the College during the transaction of business with the College.
  - 3. Any faculty or staff member, who is in a supervisory position, and who is aware of possible instances of sexual harassment by any student, faculty or staff member.
- (d) Mandatory Reporting of Alleged Sexual Discrimination. All employees, faculty and staff, must promptly report incidents of sexual/gender assault to the Title IX Coordinator or its designee. However:
  - 1. Faculty and Residence Assistants may initially omit personally identifiable information about those involved in the report. However, these employees may be required to provide further detail if required by the Title IX Coordinator or its designee.
  - 2. Counselors and other confidential employees maintain their professional discretion regarding whether to report based on the best interest(s) of the reporting party.
- (e) Review of Complaints of Alleged Sexual Harassment. Complaints of alleged sexual harassment shall be reviewed by the Title IX Coordinator or its designee to determine if an investigation is required. If the Title IX Coordinator or its designee determines to conduct an investigation, the complaint shall be investigated and resolved in accordance with appropriate procedures.
- (f) Timeframe. The Title IX Coordinator or its designee shall render a decision within sixty (60) calendar days of initiating the investigative process. In certain situations, the facts and circumstances may require a longer investigative process. In the event that an investigation cannot be completed within sixty (60) calendar days, the Title IX Coordinator or its designee will notify the parties in writing.
- (g) Complaint and Investigation Procedures

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- Any person who wishes to file a complaint of discrimination or harassment may do so by submitting a complaint to the Title IX Coordinator or its designee, the Director of Human Resources, the Dean of Student Affairs, or the General Counsel. The complaint should contain:
  - a. A detailed description of the alleged discriminatory conduct or incident(s), sexual harassment, sexual misconduct or sexual violence including dates, times, locations, etc.;
  - b. Names of any witnesses to the alleged violation; and
  - c. Any documentation in support of the allegation(s).
- 2. The Title IX Coordinator or its designee may assist the complainant in preparation of the complaint, identifying witnesses and clarifying the relief sought by the complainant. The complainant may also submit additional information and evidence at any time during the investigation.
- 3. In cases of sexual assault, dating violence, domestic violence or stalking, students and employees will be provided with written explanations of their rights and options. This will include information on how to preserve evidence; options to report or not report to law enforcement; and court orders that the student or staff member may be able to obtain for protection.
- 4. The Title IX Coordinator, in coordination with other departments and College personnel as necessary, may take immediate remedial actions as required.
- 5. Remedial Action
  - a. Remedial action consists of interim measures that are implemented to remedy a situation that has led to a complaint. The purposes of interim measures are to:
    - i. Prevent serious and immediate harm to the complainant or others;
    - ii. Prevent retaliation against the complainant, respondent and/or witnesses;
    - iii. End discriminatory or harassing behavior and prevent its recurrence; and
    - iv. Provide appropriate training in issues related to discrimination.
  - b. At any time during the complaint process, the Title IX Coordinator and/or other

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appropriate administrators may take appropriate remedial action to ensure that these purposes are achieved. Respondents may not appeal interim measures.

- c. Interim measures may include, but are not limited to:
  - i. Altering the complainant's or respondent's work or academic environment;
  - ii. Conducting workshops on discrimination or harassment for the unit, division, or department;
  - iii. Meeting with the respondent and his or her supervisor to discuss ways in which the behavior about which the complaint has been brought can be changed;
  - iv. Reassigning or transferring one or more of the parties to another course, advisor, work location or reporting relationship;
  - v. Moving students to different rooms in the residence halls or to different halls;
  - vi. Transferring students or faculty to different course sections;
  - vii. Assigning alternate advisors, mentors, supervisors, or evaluators and providing academic support services such as tutoring;
  - viii. Issuing an informal, verbal reprimand;
  - ix. Providing counseling and medical services;
  - x. Providing an escort to ensure that the complainant can move safely between classes and activities;
  - xi. Arranging for the complainant to re-take a course or withdraw from a class without penalty, including ensuring that any changes do not adversely affect the complainant's record;
  - xii. Issuing "no contact" orders;
  - xiii. Suspending an employee with pay pending investigation.
- d. The complainant and respondent shall be informed of any remedial action taken by the College.

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#### 6. Confidentiality

- a. The confidentiality and privacy of all parties involved during the investigative process will be maintained to the fullest extent possible.
- b. The Title IX Coordinator may disclose confidential information to the complainant, the respondent, potential witnesses, appropriate supervisors and administrators and other College officials or agents, SUS officials, state and federal agencies, and the courts as necessary in order to:
  - (1) Give the respondent fair notice of the charges;
  - (2) Conduct a prompt, thorough and impartial investigation; and
  - (3) Take any appropriate remedial or disciplinary actions.
- c. If a complainant insists that his or her name or other identifiable information not be disclosed to the respondent, the College will inform the complainant that its ability to respond may be limited. Additionally, the College may still respond by taking steps to protect the overall campus environment.
- 7. Each complaint will be promptly investigated to determine what occurred.
- 8. Investigation Procedures
  - a. The parties have the right to an adequate, reliable, and impartial investigation; a right to an equal opportunity to identify witnesses and supporting evidence; and a right to appeal the College's final decision. The parties will be notified of the time frame for the investigation, the outcome of the investigation, and their rights to appeal.
  - b. The Title IX Coordinator or its designee may investigate a complaint. The investigator shall:
    - i. Consult with the complainant to review the complaint, clarify the allegations, discuss desired outcomes from the investigation, and obtain detailed information about the allegation(s);
    - Inform the respondent of the complaint, summarize the allegation(s) to be investigated, and provide respondent with a written Notice of the Complaint that includes a summary of the allegations of the complaint;

#### **CHAPTER 3 - Administrative Affairs**

- iii. Collect and review written documents related to the complaint, interview the complainant and respondent, identify and interview witnesses, and collect other such evidence as may be relevant to the investigation; and
- iv. Upon completion of the investigation, prepare a written report.
- c. The respondent shall be given an opportunity to respond to the allegation(s) during interviews with the investigator and may submit a written response, names of witnesses and documentary evidence at the interview or at any time during the investigation.
- d. The complainant may provide names of witnesses and additional materials at any time during the investigation.
- e. Both complainant and respondent have the right to have an attorney or non-attorney advisor present during interviews with the investigator, at their own expense. Attorneys and advisors may be present only to advise the parties and may not participate directly in the interview or other proceedings. If a party will be represented by counsel during the investigative process, the party shall provide advance notice to the Title IX Coordinator five (5) business days before any scheduled interview.
- 9. Upon completion of the investigative process, both parties will receive a copy of the Report of Findings. The Report of Findings shall:
  - a. Present the contentions of the parties;
  - b. Summarize the general testimony of witnesses;
  - c. Determine whether the allegation(s) of the complaint are supported by the preponderance of credible evidence;
  - d. Determine whether any College policies have been violated; and
  - e. If appropriate, recommend disciplinary and/or remedial action.
- 10. Appealing the Report of Findings
  - a. If neither party appeals the Report of Findings, the report will constitute the final College decision.
  - b. If either party disputes the Report of Findings, he or she may appeal to the Title IX Coordinator within ten (10) business days. The appeal shall identify the specific findings

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that the appellant disputes and an explanation of the reasons each finding is disputed.

- c. The appeal must be based on evidence that:
  - i. The investigation was not conducted in compliance with the procedures and the noncompliance materially affected the outcome of the investigation;
  - ii. The findings were not based on the preponderance of the credible evidence; or
  - iii. The appellant has discovered new evidence, not previously available, which would have materially affected the outcome of the investigation.
- d. The appeal must be a written statement setting forth with particularity the basis for the appeal.
- e. Upon receipt of the appeal, the Title IX Coordinator shall appoint an Appeals Committee or Hearing Officer to consider the appeal and submit a report. The non-appealing party will be provided a copy of the appeal and may respond to the appeal.
- f. No member of the Committee, nor the Hearing Officer, shall be a member of the original complainant's or respondent's department or work unit.
- g. The Committee or Hearing Officer shall review the written appeal and determine if there are sufficient grounds for appeal. If the appeal is sufficient, the Committee or Hearing officer shall:
  - i. Read and review the Report of Findings;
  - ii. Examine the evidence and documentation obtained through the investigation and hearing; and
  - iii. Determine if the appellant's basis for appeal has been established and issue a decision. The Committee or Hearing Officer shall deliver its written decision to the President, appellant, and non-appealing party within twenty (20) business days of appointment.
- 11. Disciplinary Action
  - a. Any employee or student found to be responsible for violating this policy will be subject to disciplinary actions in accordance with College regulations, collective bargaining agreements or the Student Code of Conduct, as appropriate. Such action may range from

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counseling to termination of employment or academic dismissal, and may include such other forms of disciplinary action as appropriate.

- b. Any employee or student in a supervisory capacity who has actual knowledge by direct observation or by receipt of a complaint of discrimination or harassment involving any of those employees whom he or she supervises, and who does not promptly report verbally or in writing the complaint to the Director of Human Resources or the Dean of Students, as appropriate, will be subject to disciplinary actions in accordance with College regulations, bargaining agreements or the Student Code of Conduct, as appropriate. Such action may range from counseling to termination of employment or academic dismissal, and may include such other forms of disciplinary action as appropriate.
- c. If after completion of the investigation, any finding of discrimination is made, a record of the complete findings will be placed in the offending employee's personnel file. If no finding of discrimination/harassment on any charge or complaint is made, no record of the charge or complaint will be placed in the employee's personnel file unless the employee requests in writing that the record of the completed investigation be placed in the employee's personnel file.
- (9) Procedures External to New College of Florida. Complaints of alleged sexual harassment may also be filed with the following external agencies:
  - (a) U.S. Equal Employment Opportunity Commission, Tampa, 1-800-669-4000
  - (b) U.S. Department of Education, Office for Civil Rights, Atlanta, 404-562-6350
  - (c) Office of Federal Contracts Compliance Programs, Orlando, (407) 648-6181
  - (d) Florida Commission on Human Relations, Tallahassee, 850-488-7082
- (10) The College prohibits retaliation against anyone who makes a complaint of harassment or discrimination based upon an honest perception of the events, or for cooperating in the investigation of a complaint. No hardship, no loss of benefit, and no penalty may be imposed on an individual as punishment for:
  - (a) Filing or responding to a bona fide complaint of discrimination or harassment;
  - (b) Appearing as a witness in the investigation of a complaint; or
  - (c) Serving as an investigator.

Retaliation or attempted retaliation is a violation of this Regulation and anyone who does so will be subject to disciplinary actions up to and including termination or dismissal in accordance with College regulations, bargaining agreements or the Student Code of Conduct.

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Authority: Article IX, Sec. 7, Fla. Constitution; 34 CFR Part 106; Fla. Board of Governors Regulations 1.001 and 6.0105

*History: Adopted 04-27-02, as Policy 0-007; Revised and renumbered 11-04-11; Revised 05-31-14, 11-08-14, 03-05-16, 10-29-16* 

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#### 3-4022 Equal Education and Employment Opportunity

NCF is committed to the principles of equal educational and employment opportunities for, and nondiscrimination towards applicants and employees with respect to race, color, religion, age, disability, sex, marital status, national origin, sexual orientation, gender identity, gender expression, and veteran status, as provided by law, and in accordance with NCF's respect for personal dignity. It is NCF's goal to create and maintain a work and study environment that is positive and free of unlawful discrimination. Further, NCF encourages the recognition of diversity of its population and seeks to promote delivery systems, curricula activities, and programs that reflect this diversity in all facets of life at NCF.

- (1) Unlawful discrimination is unacceptable conduct that will not be tolerated at NCF.
- (2) No faculty member, student, administrator, supervisor or other employee or official of NCF shall unlawfully discriminate or take any other retaliatory action against an individual who, in good faith, has opposed an alleged unlawful discriminatory practice or has made a charge, testified, assisted or participated in any manner in an investigation or proceeding, under provisions of applicable law, relating to an alleged unlawful discriminatory practice.
- (3) NCF shall establish specific goals and strategies to promote equal educational and employment opportunities for members of minority groups, women, persons with disabilities and veterans.
- (4) Any applicant or employee who believes he or she has not been treated in accordance with this Regulation may file a complaint with the President or his designee.

Authority: Article IX, Sec. 7, Fla. Constitution; Fla. Board of Governors Regulation 1.001

*History: Adopted 05-13-06; Revised and renumbered 06-29-10; Revised 09-11-10, 03-11-17 (technical amendment), 03-07-18 (technical amendment)* 

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#### 3-4027 Discrimination / Harassment

The College shall actively promote a community in which diversity is valued. The College is committed to promoting an environment free from discrimination and harassment based on race, color, religion, age, disability, sex, sexual orientation, gender expression, gender identity, national origin, marital status, veteran status, or any other protected characteristic under the law. For information specific to discriminatory conduct in the form of sexual misconduct/harassment, please see NCF Regulation 3-4018 Sexual Discrimination/Harassment. This regulation applies to all employees and students of NCF. It also covers harassment involving patrons, vendors, and other individuals with whom employees or students of the College have interaction as a condition of their employment or education experience.

- (1) The Following Actions Are Prohibited:
  - (a) Discrimination and/or harassment by any College employee or student against any individual(s) or group(s) within the College.
  - (b) Discrimination and/or harassment by any College employee or student while assigned to duties or academic programs of the College against any individual(s) or group(s) that is not an employee or student.
  - (c) Discrimination and/or harassment by any vendor or individual external to the College against any College employee(s), student(s), or program invitee(s) during the transaction or business with the College, during any program or activity coordinated through the College, and/or while on College property.
  - (d) Retaliation by any College employee or student against any individual(s) or group(s) who, in good faith, made any allegation of discrimination and/or harassment, or who testified, assisted, or participated in any way in any investigation, proceeding, or hearing conducted under this policy or any federal or state law.
  - (e) Knowingly making false accusations of discrimination and/or harassment or retaliation, or knowingly making false statement regarding alleged discrimination and/or harassment or retaliation.
- (2) Conduct Outside the Scope of This Regulation
  - (a) This regulation is not intended to abridge academic freedom or the College's educational mission
  - (b) This regulation is not violated by actions that amount to expression protected by the state or federal constitutions.
- (3) Filing Complaints
  - (a) Any employee, student or invitee of the college may file a claim regarding discrimination and/or harassment. Please note that the opportunity to file a complaint, or the filing of a complaint, does

#### **CHAPTER 3 - Administrative Affairs**

not prevent the complainant from telling the individual whose action the complainant finds offensive that such behavior or conduct must stop and/or that it is unwelcome.

- (b) Location of filing a complaint
  - 1. If the complaint is against a College employee or invitee, the complaint should be submitted to the Director of Human Resources. Complaints filed with Human Resources shall be investigated and handled according to standard procedures within Human Resources.
  - 2. If the complaint is against a College student, the complaint should be submitted to the Dean of Student Affairs. Complaints filed with Student Affairs shall be investigated and handled according to standard procedures within Student Affairs such as hearing by the Community Board.
  - 3. If it is unclear who should receive the complaint or if the complainant has questions, then the complainant should contact the General Counsel or Ombudsperson.
  - 4. A complainant may also contact Campus Police or Sarasota Police. This does not prevent the complainant from also filing a complaint with the College.
- (4) Reporting
  - (a) Any student, employee, or other person who has direct or indirect knowledge of alleged discrimination and/or harassment should report it to the Dean of Student Affairs, Director of Human Resources, or General Counsel.
  - (b) Mandatory Reporters. The following individuals are mandatory reporters for instances of discrimination and/or harassment:
    - 1. Employees who supervise one or more individual employees;
    - 2. Employees who supervise or oversee students, including but not limited to, all members of the faculty, advisors, residential assistants, and student activity coordinators
  - (c) Failure to report by mandatory reporters may result in disciplinary action.
  - (d) Counselors and other confidential employees maintain the professional discretion regarding whether to report based on the best interest(s) of his/her client.

Authority: Article IX, Sec. 7, Fla. Constitution; Fla. Board of Governors Regulations 1.001 and 2.003

History: Adopted 11-04-11; Revised 05-31-14, 02-21-17 (technical amendment)

# **NEW COLLEGE OF FLORIDA BOARD OF TRUSTEES**

# Ad Hoc Presidential Evaluation & Compensation Committee

### Meeting Date: June 9, 2018

**SUBJECT:** Board of Trustees Annual Evaluation of the President, 2018 and 4<sup>th</sup> Amended Employment Agreement

# **PROPOSED BOARD ACTION**

Consider Draft Report of BOT Ad Hoc Presidential Evaluation Committee, 2018 and approve a report for submission to the Florida Board of Governors, including contract and compensation recommendations.

# BACKGROUND

Florida law requires the board of trustees of each state university to conduct an annual evaluation of the president and to submit the evaluation for review to the Board of Governors. The evaluation must address the president's performance and progress in achieving the goals established by the Board of Trustees including the performance-based metrica, as well as progress in diversifying the faculty, staff and undergraduate student body as specified in the state's equity accountability program.

The Presidential Evaluation Committee, appointed by Chairman Schulaner in March 2018, consisted of Trustees Johnston (Chair), Coleman and Skestos, with BOT Chair Schulaner as an *ex officio* member. The ad hoc Committee was charged with evaluating the performance of President O'Shea during academic year 2017-18 and included contract and compensation recommendations. BOT Chair Schulaner worked with BOG Chairman Lautenbach to ensure BOG input in the process. They had a teleconference call on May 17, 2018.

The Committee considered the President's Statement of Priorities, adopted by the College's Board of Trustees on November 4, 2017. They also considered additional documents: President O'Shea's Report on Activities in the President's Office, a report of the Spring 2018 New College Faculty Evaluation of the President conducted by the Faculty Appointments and Status Committee; and the results of a Trustee Presidential Evaluation Questionnaire, which was distributed to NCF Trustees in April 2018, with copies of the material previously mentioned, to help them assess President O'Shea's performance against the statutory and administrative responsibilities set by law, by the Board of Governors, and by his own priorities. These materials and were also provided to BOG Chairman Lautenbach and discussed with BOT Chair Schulaner.

The attached Draft Presidential Evaluation Report, 2018 provides an overall rating of President O'Shea by a majority of Trustees of "Excellent to Good." The Committee recommends the draft Evaluation Report, and the President's 4<sup>th</sup> Amended Employment Agreement to the Board for adoption and for transmittal to the Chancellor and the Florida Board of Governors.

**Facilitators/Presenters:** Trustee Johnston (Chair), and Trustees Coleman, Skestos and BOT Chair Schulaner (*ex officio*)

### **RESULTS TALLY – BOT PRESIDENTIAL EVALUATION 2018 – NEW COLLEGE**

Pursuant to Chapter 1001.706(6), Florida Statutes, and Florida Board of Governors Regulation 1.001(5), the Board of Trustees of each of Florida's state universities annually evaluate the President. The evaluation process requires the Board of Trustees to perform an assessment based on items that are included in some of the specific questions below. The categories have been developed by the NCF Presidential Evaluation Committee.

A. LEADERSHIP (includes SUS Metrics)
B. INTERNAL AFFAIRS
C. EXTERNAL AFFAIRS
D. ADVOCACY
E. MANAGEMENT
F. DR. O'SHEA'S INSTITUTIONAL PRIORITIES FOR 2017-18 APPROVED BY BOT (incl. SUS Metrics)
F1. DR. O'SHEA'S MULTI-YEAR PRIORITIES, APPROVED BY BOT
G. PERSONAL QUALITIES
H. OVERALL EVALUATION

Check the box which most appropriately reflects your opinion of President O'Shea's performance. For "Needs Improvement" or "Significantly Below Expectations" provide feedback on how improvement could be achieved.

#### A. LEADERSHIP

	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
1. Demonstrates leadership and commitment to achieving state funding incentives through the performance- based metric system devised by the Legislature and State University System (Ch. 1001.92, F.S.)	5	3	1			
2. Engenders confidence as an educational leader.	5	3	1			
3. Exhibits knowledge and understanding of a public liberal arts and sciences honors college.	5	3	1			
4. Demonstrates leadership style that Inspires others.	2	3	3	1		
5. Demonstrates leadership and commitment for progress in meeting the performance goals established by the state university accountability process (Ch. 1008.46, F.S.)	4	3	2			
6. Responsible for developing and formulating plans and programs, and for providing overall direction to the College within the authority delegated by the Board of Trustees.	3	4		2		

Comments:

"The administrative team seems to respond well to Don's leadership. Still have rifts with faculty, particularly most tenured. Committed to excellence at performance metrics."

#### **B. INTERNAL AFFAIRS**

	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
1. Encourages broad access to higher education and sensitivity to the concerns of all students.	5	3	1			

2. Responsible for planning and initiating programs concerning organizational, operational, and academic functions of the College and for overseeing their execution.	4	2	2	1	
3. Is readily available to the Board of Trustees when necessary.	8	1			

#### C. EXTERNAL AFFAIRS

	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
1. Seizes opportunities to increase the resources available to the College.	6	1	2			
2. Responsible for negotiating with administrative officials and representatives of business, community, and civic groups to promote the educational, research, and public service objectives and policies of the College.	4	4	1			
3. Makes presentations to internal and external groups of the academic community	4	2	3			

Comments: "I would like to see Don O'Shea take a more active role in securing big private donations." "Wish he did as well raising money externally."

#### D. ADVOCACY

	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
1. Represents the College on local, state and national councils and committees on matters related to the College, particularly as they affect the State of Florida.	3	5	1			
2. Demonstrates success as an effective fundraiser.	2	2	1	4		
3. Demonstrates success as an advocate with the Legislature	4	3	2			

Comments: "Fundraising is still an opportunity area."

#### E. MANAGEMENT

	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
1. Creates a work atmosphere that encourages high morale and maximizes individual performance.	2	2	2		1	2
2. Appropriately delegates authority and responsibility to the administrative staff	2	3	1	2		1
3. Insists that all College programs be of the highest possible quality.	4	3	1			1
4. Demonstrates progress toward achievement of the annual and long- term goals and objectives established in the College's employment equity accountability program (BOG Reg. 2.003).	4	4	1			
5. Responsible for developing and administering College budgets	3	3	2			

Comments:

"Don is a brilliant and charming person. As he gets more comfortable delegating to his direct reports I would like to see him spend more time cheerleading versus doing tactical work." "The help from John Martin is most helpful."

# F. DR. O'SHEA'S INSTITUTIONAL PRIORITIES FOR 2017-18, APPROVED BY BOT 11/4/17

	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
1. improve student success, including four-year graduation rate, first-year retention rate, career skills, and graduate school placement immediately post New College	3	2	3		1	
2. Implement the growth plan	3	5			1	
3. Develop a strategic plan that incorporates the growth plan and achieves desired SUS performance metrics,	3	2	2	2		
4. Introduce Enterprise Risk Management System	2	1	4	1		1

Comments:

"Jury is still out on retention and admissions. But Don does understand the need."

"Making progress, but too soon to tell."

"Tactics to ensure success of growth plan are in place with first cohort of faculty positions to begin in Fall 2018, but DOS should focus on ensuring these efforts result in the promised outcomes (significant improvement in retention and four year graduation rates, for example)."

### F.1. DR. O'SHEA'S MULTI-YEAR PRIORITIES, APPROVED BY BOT 9/7/13

		Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
1.	Increase retention	2	1	4	1	1	
2.	Enhance campus life	3	2	2	2		
3.	Beautify campus	2	1	4	1	1	
4.	Diversify campus and improve access	2	3	3		1	
5.	Enhance academic reputation locally, statewide, nationally and internationally	5	2		2		
6.	Increase non-State revenue	2	1	3	2	1	
7.	Further position New College as state's education hedge investment	3	4				2

Comments:

"Don't know what "hedge investment" means. Cleaner and tidier [campus]. But perhaps the staff is too small. Diversity is difficult. Donal is doing more than previous presidents."

"Dr. O'Shea has done a terrific job of advocating for New College with the Board of Governors and the legislature. With a growth plan in place we should see progress against the multi-year priorities, particularly retention."

"On multi-year priorities, responses reflect current outcomes, not necessarily Don's effectiveness."

# G. PERSONAL QUALITIES

	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below expectations	No basis for judgement
Is enthusiastic and dedicated to the job	9					
Is calm, positive, and reacts in a rational manner	5	3	1			
Accepts constructive criticism; recognizes own strengths and weaknesses	6	3				
Open minded concerning suggestions for improvement	6	3				
Expresses ideas well; is articulate	6	2	1			
Has vision and courage to stand by fundamental beliefs	6	3				
Encourages input from all elements of the College	5	2	1	1		
Encourages staff to develop and grow, both personally and professionally	4	3	1			1
Maintains the highest standards of personal honesty and integrity	7	2				
Exhibits a zest for the position of President	6	3				

Comments: "His finest attributes."

#### **H. OVERALL EVALUATION**

Overall Evaluation (Check one)	Exceptional	Very Good	Meets Expectations	Needs Improvement	Significantly Below	No basis for judgement
	4	3	2+		expectations	

#### ADDITIONAL GENERAL COMMENTS:

"Still need to see if the new hires can help NCF."

"Under DOS's leadership New College is moving in an exciting new direction. The growth Plan (and funding to support it) and the Cross Campus Alliance are significant achievements and will greatly enhance the College's reputation and outcomes."

"It is an honor and a privilege to serve on the Board of Trustees with President O'Shea. He is a brilliant educator and a wonderful person. I am elated to serve with him with him again this year."

From our newest 3 Trustees, who did not complete the Evaluation Form:

1. "Having just been appointed to the Board at the end of the [academic] year, I am not in a position to offer feedback. I look forward to being able to do so at this time next year."

- Mark Aesch 4/22/18
- 2. "No basis for judgement for all categories." Same as above. Appointed at the same time.
- Felipe Colon 5/22/18

Student Body President, elected to fill a surprise vacancy from Spring through June 30, 2018, also was unable to provide feedback.

- Kathleen Thurson, New College Student Alliance President